

At: Aelodau'r Cabinet

Dyddiad: 15 Tachwedd 2017

Rhif Union: 01824712568

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Annwyl Gyngorydd

Fe'ch gwahoddir i fynychu cyfarfod y **CABINET, DYDD MAWRTH, 21 TACHWEDD 2017** am **10.00 am** yn **SIAMBR Y CYNGOR, NEUADD Y SIR, RHUTHUN.**

Yn gywir iawn

G Williams

Pennaeth Gwasanaethau Cyfreithiol, AD a Democrataidd

## **AGENDA**

### **RHAN 1 – GWAHODDIR Y WASG A'R CYHOEDD I FOD YN BRESENNOL AR GYFER Y RHAN HON O'R CYFARFOD**

#### **1 YMDDIHEURIADAU**

#### **2 DATGAN CYSYLLTIAD**

Dylai'r Aelodau ddatgan unrhyw gysylltiad personol neu gysylltiad sy'n rhagfarnu ag unrhyw fater a nodwyd fel un i'w ystyried yn y cyfarfod hwn.

#### **3 MATERION BRYG**

Rhybudd o eitemau y dylid, ym marn y Cadeirydd, eu hystyried yn y cyfarfod fel materion bryg yn unol ag Adran 100B(4) Deddf Llywodraeth Leol 1972.

#### **4 COFNODION (Tudalennau 5 - 16)**

Derbyn cofnodion cyfarfod y Cabinet a gynhaliwyd ar 26 Medi 2017 (copi ynghlwm).

**5 CYNLLUNIAU BYW YN Y GYMUNED SIR DDINBYCH AR GYFER POBL AG ANABLEDDAU DYSGU- ESTYN Y CONTRACTAU PRESENNOL**  
(Tudalennau 17 - 26)

Ystyried adroddiad, **gan gynnwys atodiad cyfrinachol**, gan y Cyng. Bobby Feeley, Aelod Arweiniol Lles ac Annibyniaeth (copi ynghlwm) yn gofyn am gymeradwyaeth i estyn 18 Contract Byw yn y Gymuned ar gyfer pobl ag Anableddau Dysgu am gyfnod o ddwy flynedd hyd nes cymeradwyir trefniadau caffael ar gyfer y gwasanaethau hynny.

**6 ADRODDIAD CYLLID** (Tudalennau 27 - 66)

Ystyried adroddiad gan y Cyng. Julian Thompson-Hill, Aelod Arweiniol Cyllid, y Cynllun Corfforaethol a Pherfformiad (copi ynghlwm) yn manylu ar y sefyllfa ariannol ddiweddaraf a'r cynnydd o ran y strategaeth gyllidebol y cytunwyd arni.

**7 RHAGLEN GWAITH I'R DYFODOL Y CABINET** (Tudalennau 67 - 70)

Derbyn Rhaglen Gwaith i'r Dyfodol y Cabinet sydd wedi'i hamgáu, a nodi'r cynnwys.

**MEMBERSHIP**

**Y Cynghorwyr**

Hugh Evans  
Bobby Feeley  
Huw Hilditch-Roberts  
Richard Mainon

Thomas  
Julian Thompson-Hill  
Brian Jones  
Mark Young

**COPIAU I'R:**

Holl Gynghorwyr er gwybodaeth  
Y Wasg a'r Llyfrgelloedd  
Cynghorau Tref a Chymuned

DEDDF LLYWODRAETH LEOL 2000

Cod Ymddygiad Aelodau

## DATGELU A CHOFRESTRU BUDDIANNAU

Rwyf i,  
(enw)

\*Aelod /Aelod cyfetholedig o  
(\*dileuer un)

Cyngor Sir Ddinbych

**YN CADARNHAU** fy mod wedi datgan buddiant **\*personol / personol a sy'n rhagfarnu** nas datgelwyd eisoes yn ôl darpariaeth Rhan III cod ymddygiad y Cyngor Sir i Aelodau am y canlynol:-  
(\*dileuer un)

Dyddiad Datgelu:

Pwyllgor (nodwch):

Agenda eitem

Pwnc:

Natur y Buddiant:

(Gweler y nodyn isod)\*

Llofnod

Dyddiad

Noder: Rhowch ddigon o fanylion os gwelwch yn dda, e.e. 'Fi yw perchennog y tir sy'n gyfagos i'r cais ar gyfer caniatâd cynllunio a wnaed gan Mr Jones', neu 'Mae fy ngŵr / ngwraig yn un o weithwyr y cwmni sydd wedi gwneud cais am gymorth ariannol'.

Mae tudalen hwn yn fwriadol wag

## CABINET

Cofnodion cyfarfod o'r Cabinet a gynhaliwyd yn Siambr yr Cyngor, Neuadd y Sir, Rhuthun, Dydd Mawrth, 26 Medi 2017 am 10.00 am.

## YN BRESENNOL

Y Cynghorwyr Hugh Evans, Arweinydd ac Aelod Arweiniol yr Economi a Llywodraethu Corfforaethol; Bobby Feeley, Aelod Arweiniol Lles ac Annibyniaeth; Huw Hilditch-Roberts, Aelod Arweiniol Addysg, Plant a Phobl Ifanc; Brian Jones, Aelod Arweiniol Priffyrdd, Cynllunio a Theithio Cynaliadwy; Richard Mainon, Aelod Arweiniol Datblygu Seilwaith Cymunedol; Tony Thomas, Aelod Arweiniol Tai, Rheoleiddio a'r Amgylchedd; Julian Thompson-Hill, Aelod Arweiniol Cyllid, Perfformiad ac Asedau Strategol, a Mark Young, Aelod Arweiniol Safonau Corfforaethol.

**Arsylwyr:** Y Cynghorwyr Meirick Lloyd Davies, Martyn Holland, Huw Jones, Arwel Roberts, Rhys Thomas ac Emrys Wynne

## HEFYD YN BRESENNOL

Prif Weithredwr (MM), Cyfarwyddwyr Corfforaethol: Economi a'r Parth Cyhoeddus (RM) a Chymunedau (NS); Penaethiaid Gwasanaeth: Gwasanaethau Cyfreithiol, Adnoddau Dynol a (GW), Swyddog Cyllid /Adran 151 (RW), Gwella Busnes a Moderneiddio (AS); Rheolwr Tîm Cynllunio Strategol (NK) a Gweinyddwr Pwyllgorau (KEJ)

## PWYNT O WYBODAETH

Dyweddodd yr Arweinydd fod Rebecca Maxwell, y Cyfarwyddwr Corfforaethol yn mynychu ei chyfarfod diwethaf o'r Cabinet ac aeth ati i gyfleu ei werthfawrogiad am y gwaith gwerthfawr yr oedd wedi ei wneud yn ystod ei hamser gyda'r awdurdod a dymunodd y gorau iddi ar gyfer y dyfodol. Dywedodd Ms. Maxwell ei bod wedi mwynhau ei hamser gyda'r awdurdod yn fawr a bod Sir Ddinbych yn lle gwych i weithio a byw ynddo. Diolchodd i bawb am eu cefnogaeth dros y pum mlynedd diwethaf ac adlewyrchodd ar gyflawniadau'r awdurdod a'r cynlluniau ar gyfer y dyfodol.

### 1 YMDDIHEURIADAU

Ni chafwyd unrhyw ymddiheuriadau.

### 2 DATGAN CYSYLLTIAD

Ni chafodd unrhyw gysylltiad ei ddatgan.

### 3 MATERION BRYD

Ni chodwyd unrhyw fater bryd.

## 4 COFNODION

Cyflwynwyd cofnodion cyfarfod y Cabinet a gynhaliwyd ar 18 Gorffennaf 2017.

Tudalen 10, Eitem 7: Penodi Aelodau i Gyrrff Allanol - Tynnodd y Cynghorydd Bobby Feeley sylw at y ffaith bod y rhan fwyaf o'r cynrychiolwyr penodedig wedi bod yn ddynion a gofynnwyd i hyn gael ei gadw mewn cof wrth ystyried penodiadau yn y dyfodol gyda'r bwriad o gynyddu'r nifer o ferched ar gyrrff allanol. Gofynnodd yr Arweinydd i'r aelodau ystyried y pwynt hwn wrth gymeradwyo penodiadau yn y dyfodol.

**PENDERFYNWYD** y dylid cymeradwyo cofnodion y cyfarfod a gynhaliwyd ar 18 Gorffennaf 2017 fel cofnod cywir ac y dylai'r Arweinydd eu llofnodi.

## 5 CAIS BARGEN TWF ECONOMAIDD GOGLEDD CYMRU – ADRODDIAD CYNNYDD

Cyflwynodd y Cynghorydd Hugh Evans yr adroddiad yn diweddarau'r Cabinet ar gynnydd gyda datblygu Cais Bargaen Twf ar gyfer y rhanbarth, cynghorodd am y camau nesaf o fewn y broses a gofynnodd am gymeradwyaeth i awdurdodiadau ar gyfer y gwaith sy'n mynd rhagddo.

Atgoffwyd y Cabinet fod Gweledigaeth Twf Economi Gogledd Cymru wedi cael ei fabwysiadu gan y chwe Chabinet a sefydliadau partner eraill ac fe'u gwahoddwyd i ddatblygu'r weledigaeth honno yn 'Gais Twf' ar gyfer buddsoddiad cenedlaethol a chyflwyno pwerau o Lywodraethau'r DU a Llywodraeth Cymru. Roedd yr Arweinydd yn hyderus y byddai Sir Ddinbych yn elwa o'r fargen a thynnodd sylw at yr angen i weithio'n rhanbarthol gyda phartneriaid i ddarparu economi ffyniannus i Ogledd Cymru.

Rhoddodd y Cyfarwyddwr Corfforaethol Economi a Pharth y Cyhoedd rywfaint o gefndir pellach ac amlinellodd waith datblygu a wnaed eisoes o dan themâu (a) safleoedd cyflogaeth a thai strategol; (b) twf busnes ac arloesed; (c) seilwaith cludiant a gwasanaethau; a (d) sgiliau, diweithdra a diwygio lles. Rhoddodd wybod hefyd am yr amserlenni disgwylidig ar gyfer cynnydd yn y dyfodol ac ymhelaethodd ar argymhellion yr adroddiad. Roedd angen i drafodaethau cynnar ddechrau gyda Llywodraethau Cymru a'r DU a gofynnwyd am gymeradwyaeth i sefydlu Cyd-bwyllgor 'Cysgodol' ac awdurdodi'r Arweinydd i gynrychioli Sir Ddinbych arno ac yn y trafodaethau cam cyntaf hyd nes y bydd y Cydbwyllgor llawn yn cael ei sefydlu'n ffurfiol. Roedd adroddiad tebyg yn cael ei gyflwyno i'r chwe Chabinet.

Yn ystod trafodaeth hir, roedd y prif bwyntiau'n canolbwyntio ar y materion canlynol

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- codwyd cwestiynau ynglŷn â pherthynas a rhyngweithio Sir Ddinbych gyda chynghreiriau economaidd eraill o ystyried y cais bargaen twf ac esboniodd y swyddogion rôl Cynghrair Mersi Dyfrdwy a oedd yn parhau i weithio ochr yn ochr â'r rhanbarth; cyfeiriwyd at weithio'n agos â Phartneriaeth Economaidd

Leol Sir Gaer a Warrington ar sail drawsffiniol; a'r cyfraniadau a wnaed i strategaeth ehangach rhwydwaith Pwerdy'r Gogledd.

- Cyfeiriwyd ymhellach at y gwaith rhanbarthol yn rhedeg yn baralel i geisiadau twf mewn rhanbarthau penodol o Loegr ac yn Ne Cymru a chysylltiadau cydnabyddedig â Gorllewin Canolbarth Lloegr yn benodol a'r angen i weithio gyda'r Midlands Engine for Growth. Nodwyd hefyd y byddai angen i rôl Bwrdd Uchelgais Economaidd Gogledd Cymru newid o ganlyniad i'r trefniadau llywodraethu newydd, a phwysleisiwyd pwysigrwydd sicrhau bod Sir Ddinbych mewn sefyllfa dda i elwa o'r gwaith rhanbarthol ac ni fyddai o dan anfantais o ganlyniad iddo. Roedd swyddogion yn awyddus i bwysleisio'r manteision cryf posibl ar gyfer Sir Ddinbych, a oedd mewn sefyllfa dda iawn o fod yn ganolog yn y rhanbarth, ac roedd cynigion eisoes yn y cais bargaen twf a fyddai o fudd i'r sir. Roedd llawer o lobïo buddsoddi yn cael ei wneud gyda'r bwriad o wella cysylltedd (yn gorfforol a digidol) a fyddai'n ei gwneud yn haws i drigolion deithio, a'r cyfleoedd cyflogaeth y gellid eu creu ymhellach i ffwrdd ac yn y sir â Pharc Busnes Llanelwy wedi cael ei nodi fel lleoliad cyflogaeth allweddol. Byddai'r Arweinydd yn gyfrifol am hyrwyddo a diogelu buddiannau Sir Ddinbych drwy'r Cydbwyllgor ac mae'r gwaith hwn hefyd wedi ei nodi yn flaenoriaeth graidd i'r Cyfarwyddwr Corfforaethol newydd.
- ymhelaethodd swyddogion ar y model llywodraethu arfaethedig a threfniadau cyfansoddiadol gan gynghori y byddai'r aelodaeth yn cynnwys Arweinydd pob un o'r chwe Chyngor gyda'r opsiwn i gyfethol cynghorwyr nad ydynt yn pleidleisio o dri sefydliad partner allweddol. Er mwyn cefnogi'r Cydbwyllgor byddai angen awdurdod lletyol i ddarparu cefnogaeth gyfreithiol, ysgrifenyddol a gweinyddol. Roedd gwaith yn mynd rhagddo ar hyn o bryd i ddatblygu Cytundeb Rhyng-Awdurdod (IAA) yn nodi sut y byddai'r Cydbwyllgor yn cael ei lywodraethu; sut y byddai penderfyniadau'n cael eu gwneud (gan gynnwys materion a gadwyd yn ôl i awdurdodau unigol); sut y byddai cyfraniadau ariannol yn cael eu dosrannu ac indemniadau. Byddai'r IAA hefyd yn nodi sut y gallai awdurdod lleol adael y cytundeb hwnnw. Cyfeiriwyd at wahanol fodolau ar gyfer trefniadau partneriaeth a rhoddwyd sicrwydd mai'r Cydbwyllgor oedd y model mwyaf priodol yn yr achos hwn. Roedd y model a argymhellir a'i swyddogaethau yn gyson gyda'r model a gynigwyd gan Lywodraeth Cymru ar gyfer llywodraethu rhanbarthol. Byddai angen sefydlu gwahanol strwythurau o dan y Cydbwyllgor er mwyn goruchwyllo prosiectau unigol. Nodwyd bod angen trafodaeth bellach ynghylch cymesuredd a chyfraniadau ariannol ar gyfer cynlluniau penodol i sicrhau bod Sir Ddinbych yn cael gwerth am arian ac amlygwyd yr angen am strwythur llywodraethu ariannol clir hefyd. Byddai angen cymeradwyo achosion busnes unigol ar gyfer elfennau o geisiadau penodol o ran telerau ariannol a chynnwys
- byddai craffu'r Cyd-bwyllgor yn fater i'r chwe awdurdod lleol gytuno arno a'i gynnwys yn yr IAA ac efallai y byddai opsiwn i ystyried trefniadau craffu ar y cyd - byddai'r Pwyllgor Archwilio Partneriaethau dros dro yn adolygu'r trefniadau ar gyfer y cais bargaen twf fel mae'n datblygu
- eglurwyd na fyddai unrhyw awdurdod arweiniol ac na fyddai gan unrhyw awdurdod lleol fwy o ddylanwad dros un arall. Byddai'r awdurdod lletya yn darparu cefnogaeth gyfreithiol, ysgrifenyddol a gweinyddol ac ni fyddai'n cynnig mantais

- o ran arwain at feysydd gwaith penodol yn mynd ymlaen, cyfeiriodd yr adroddiad at yr hyn oedd ei angen i ddatblygu'r cynigion hynny yn achosion busnes a fyddai'n fater i'r Cyd-bwyllgor Cysgodi ei ystyried. Awgrymwyd y gallai tîm o swyddogion, ar secondiad efallai, weithio gyda'i gilydd i ddatblygu'r cynigion ar gyfer y rhanbarth
- roedd rhywfaint o drafodaeth yn canolbwyntio ar gysylltedd ffisegol y rhanbarth gan gynnwys pwysigrwydd Porthladd Caergybi; prosiectau gan gynnwys trydaneiddio, a chysylltiadau trafniadaeth penodol eraill yn y rhanbarth. Roedd isadeiledd a chysylltedd ffyrdd a rheilffyrdd a chynllunio trafniadaeth ehangach yn ganolog i Strategaeth Twf Gogledd Cymru ac roedd Cynllun Trac Twf 360 yn cyflwyno achos dros fuddsoddiad rheilffyrdd trawsffiniol. O ran gweithio'n rhanbarthol, roedd y cynnig ar gyfer Cyd-bwyllgor yn ymwneud â datblygu economaidd, ond o ystyried pwysigrwydd isadeiledd cludiant, disgwylir y byddai angen Is-bwyllgor Cludiant arbenigol. Roedd Aelodau Arweiniol wedi bod mewn trafodaethau ynglŷn ag ymagwedd fwy rhanbarthol i alluogi mwy o reolaeth o faterion cludiant y gellir eu cyflawni trwy gael awdurdod cludiant rhanbarthol
- eglurwyd byddai'r cais twf i Lywodraethau'r DU a Chymru a fyddai'n cytuno ar y cyd p'un a fyddent yn ei ariannu ai peidio ac amlygwyd yn yr adroddiad yr angen am ystyriaeth ofalus o'r model llywodraethu terfynol a'r ffordd y cymeradwyir unrhyw gyfraniadau neu ymrwymadau ariannol yn y dyfodol. Nid oedd dim goblygiadau adnoddau ychwanegol uniongyrchol yn codi ar y cam hwn, ar wahân i wneud cyfraniad refeniw o £50k o gyllidebau 2017/2018 a oedd eisoes wedi'i gyfrif. Byddai costau'r dyfodol yn cael eu hystyried mewn rowndiau cyllideb yn y dyfodol.

**PENDERFYNWYD** bod y Cabinet yn -

- nodi a chefnogi cynnydd datblygiad Cais Bargaen Twf cystadleuol ar gyfer y rhanbarth.*
- cefnogi mewn egwyddor, y model llywodraethu a ffafrir, sef model cydbwyllgor statudol ar gyfer ei ddatblygu ymhellach, gydag adroddiad llawn ar gyfansoddiad a chylch gorchwyl, a Chytundeb Rhyng-Awdurdod, i ddilyn yn ddiweddarach yn y flwyddyn.*
- awdurdodi'r Arweinydd i weithredu fel aelod Sir Ddinbych o Gydbwyllgor 'Cysgodol' yn y cyfnod interim.*
- awdurdodi'r Arweinydd, ynghyd ag Arweinwyr y 5 cyngor partner arall, i ddechrau trafodaethau cam cyntaf cyfun gyda'r ddwy Lywodraeth dros raddfa a chynnwys amlinellol Cais Bargaen Twf, gan nodi na ddechreuir unrhyw ymrwymadau ariannol nac ymrwymadau eraill ar y cam cyntaf hwn o drafodaethau, a*
- chadarnhau eu bod wedi darllen, deall ac wedi ystyried yr Asesiad o Effaith ar Les (Atodiad 3 yr adroddiad) fel rhan o'i ystyriaethau.*

**6 CYNLLUN CORFFORAETHOL CYNGOR SIR DDINBYCH 2017-2022**



Cyflwynodd y Cynghorydd Julian Thompson-Hill y Cynllun Corfforaethol drafft i'w ystyried gan y Cabinet cyn ei gyflwyno i'r Cyngor ar 17 Hydref 2017.

Roedd y Cyngor diwethaf wedi darparu Cynllun Corfforaethol uchelgeisiol iawn i raddau helaeth gan ddangos ei uchelgais a'i ymrwymiad i gyflawni ei flaenoriaethau. Roedd y blaenoriaethau yn y Cynllun Corfforaethol drafft yn cynnwys Tai; Pobl Iau; Cymunedau Cysylltiedig; Cymunedau Gwydn a'r Amgylchedd ac fe'u nodwyd yn dilyn proses gynhwysfawr o gasglu tystiolaeth a dadansoddi ac ymgynghori'n fanwl â chymunedau (Sgwrs y Sir), partneriaid ac aelodau etholedig. Pe bai'r Cynllun yn cael ei fabwysiadu, dylai fod yn bosibl cyflawni'r rhan fwyaf o'r camau gweithredu yn amodol ar argaeledd cyllid ar gyfer prosiectau penodol a byddai Cynllun Ariannol ategol yn cael ei gynnwys fel rhan o ddogfen y Cynllun Corfforaethol a gyflwynwyd i'r Cyngor. Tynnwyd sylw'r Cabinet at yr egwyddorion allweddol o fewn y Cynllun o ran Cydraddoldebau a'r Iaith Gymraeg ynghyd â threfniadau llywodraethu wrth symud ymlaen, gan gynnwys craffu a monitro. Yn olaf, atgoffodd y Cynghorydd Thompson-Hill yr aelodau o'i gynnig i gyfarfod â grwpiau gwleidyddol i drafod y Cynllun Corfforaethol ymhellach a darparu sicrwydd y byddai materion pwysig a godwyd gan aelodau yn ystod y broses ymgynghori a oedd heb eu cynnwys yn benodol yn y Cynllun wedi cael eu dal mewn manau eraill o fewn y cynlluniau busnes gwasanaethau.

Cyfeiriodd yr Arweinydd at y broses ymgynghori a'r canlyniadau o fewn y Cynllun Corfforaethol a oedd yn dangos bod y Cyngor wedi gwrandao ac ymateb i'r materion a godwyd. Croesawodd a chefnogodd y Cynllun fel ffordd o gyflawni disgwyliadau trigolion o awdurdod lleol a gwneud gwahaniaeth mewn cymunedau. Roedd yn fodlon y byddai materion pwysig nas cynhwysir fel blaenoriaethau penodol yn y Cynllun o hyd yn cael eu trin gan dynnu sylw at y strategaeth economaidd fel enghraifft dda.

Trafododd yr Aelodau'r adroddiad ymhellach gyda'r Aelod Arweiniol a'r Pennaeth Gwella Busnes a Moderneiddio fel a ganlyn -

- Roedd y Cabinet wedi bod yn falch o nodi'r broses ymgynghori agored a thryloyw a oedd wedi arwain at Gynllun a fyddai'n gwneud gwir wahaniaeth i drigolion a chytunodd fod y Cynllun Corfforaethol drafft yn cynnal uchelgeisiau'r Cyngor ond roedd hefyd yn realistig.
- Awgrymwyd y dylid cyfeirio at Sgwrs y Sir ar ddechrau'r ddogfen i osod y cyd-destun a dangos bod barn y trigolion wedi cael ei werthfawrogi a'i ystyried wrth lunio'r Cynllun. Amlygodd y Cynghorydd Huw Hilditch-Roberts y ffaith bod angen gwneud penderfyniadau mawr er mwyn cyflawni'r Cynllun, gan ddefnyddio penderfyniadau sy'n ymwneud â datblygiadau tai fel enghraifft dda, a gofynnodd i'r holl aelodau etholedig gael eu gwneud yn gwbl ymwybodol o'u rôl yn y broses a'r ymrwymiad sydd ei angen i sicrhau bod y Cynllun yn cael ei gyflwyno'n llwyddiannus
- Roedd y Cynghorydd Bobby Feeley yn croesawu'n arbennig gynnwys yr Amgylchedd a'i gylch gorchwyl fel blaenoriaeth a dywedodd swyddogion ei bod wedi bod yn thema allweddol gan bobl ifanc yn ystod Sgwrs y Sir
- Cafwyd rhywfaint o drafodaeth ynglŷn â sut i fynegi beth oedd y Cyngor yn gobeithio ei gyflawni, gan gyfeirio'n benodol at eiriad y Cynllun "byddwn ni" o ystyried bod y camau gweithredu i ddiwallu llwyddiannau penodol y tu allan i

reolaeth y Cyngor ac yn gyfrifoldeb ar sefydliadau eraill. Derbyniwyd bod rhai meysydd nad oeddynt o fewn rheolaeth y Cyngor yn unig, ond gallai'r Cyngor ddylanwadu a bod yn gymhellwr o ran sicrhau y cyflawnwyd canlyniadau a'r gobaith oedd y byddai'r geiriad yn adlewyrchu bwriad ac ewyllys y Cyngor i gyflawni canlyniad penodol er gwaethaf nad oedd unrhyw sicrwydd

- cyfeiriwyd at yr egwyddor allweddol i weithio i sicrhau bod yr Iaith Gymraeg yn ffynnu yn Sir Ddinbych a'r angen i wthio hyn ymlaen ymhellach a dywedodd y swyddogion fod y Cynllun yn adlewyrchu sefyllfa'r Cyngor a'r strategaethau ac uchelgais i wella yn y maes hwnnw. Cyfeiriodd yr Arweinydd at sefydlu Pwyllgor Llywio'r Iaith Gymraeg i fwrw ymlaen â materion ac amlygwyd yr angen am gynnwys a datblygu'r Gymraeg â phobl nad ydynt yn siaradwyr Cymraeg fel rhan o'r broses honno. Roedd y Cynghorydd Huw Hilditch-Roberts yn falch bod yr Iaith Gymraeg wedi cael ei chydabod yn y Cynllun a thynnodd sylw at yr angen i newid y diwylliant a'r ethos i sicrhau bod yr Iaith Gymraeg yn rhan annatod o fywyd bob dydd. Mewn ymateb i alwadau oddi wrth y Cynghorydd Arwel Roberts i ysgolion newydd fod yn ddwyieithog, nododd y Cynghorydd Hilditch-Roberts ei gefnogaeth i ysgolion dwyieithog a soniodd am geisiadau i Lywodraeth Cymru i egluro categorïau iaith o ystyried y dryswch yn hynny o beth
- mewn ymateb i gais i ymgysylltu ymhellach â Chynghorau Tref / Dinas / Cymuned, dywedodd y Cynghorydd Richard Mainon ei fod yn edrych ar sut y gellid dod â Chynghorau Tref / Dinas / Cymuned yn rhan o'r broses a helpu gyda darparu'r Cynllun Corfforaethol
- cyfeiriodd yr aelodau hefyd at gyflawniadau unigol a amlygwyd o fewn y Cynllun a rhoddodd y swyddogion eglurhad ynghylch pam y cawsant eu cynnwys a'u tebygolrwydd o gael eu darparu gan gynnwys cyfeiriadau at dai fforddiadwy; dod â chartrefi gwag yn ôl i ddefnydd; lleihau nifer yr eiddo sydd mewn perygl o lifogydd a chynyddu'r nifer o goed yn y Rhyl a Dinbych. Eglurwyd hefyd y byddai datblygu llwybrau beicio yn cael ei ystyried o dan thema Cymunedau Cysylltiedig a theithio cynaliadwy.

**PENDERFYNWYD** bod y Cabinet yn -

- cymeradwyo'r drafft terfynol o'r Cynllun Corfforaethol i'w gyflwyno i'r Cyngor Sir ar 17 Hydref 2017 ac argymhell iddo gael ei fabwysiadu yn ddarostyngedig i (1) mireinio'r geiriad terfynol, a (2) cynnwys cynllun ariannol ategol, a*
- cadarnhau ei fod wedi darllen, deall ac ystyried yr Asesiad o'r Effaith ar Les (Atodiad B o'r adroddiad) fel rhan o'i ystyriaethau.*

Ar y pwynt hwn, 11.35 a.m., cymerodd y cyfarfod egwyl am luniaeth.

## **7 POLISI CORFFORAETHOL: INCWM, FFIOEDD A THALIADAU**

Cyflwynodd y Cynghorydd Julian Thompson-Hill yr adroddiad yn gofyn am gymeradwyaeth y Cabinet i'r Polisi Corfforaethol: Incwm, Ffioedd a Thaliadau sy'n nodi ymagwedd y Cyngor tuag at gynhyrchu incwm a chodi tâl am wasanaethau.

Roedd y Cyngor yn codi oddeutu £48 miliwn yn flynyddol mewn amryw ffioedd a thaliadau. Er bod gweithdrefnau a chyfrifoldebau ar gyfer rheoli incwm wedi'u

nodi'n glir yn y Rheoliadau Ariannol, byddai polisi corfforaethol gydag egwyddorion strategol yn cryfhau ymagwedd y Cyngor ac yn fuddiol i gynllunio cyllidebau. Amlinellodd y Cynghorydd Thompson-Hill yn fyr y polisi gan dynnu sylw at feysydd penodol yn y ddogfen, gan gynnwys cwmpas y polisi; egwyddorion allweddol codi tâl a chonchesiynau. Amlygodd yn benodol yr egwyddor allweddol mai'r rhagdybiaeth sy'n mynd rhagddo fyddai codi tâl cyfreithiol am wasanaeth oni bai bod rheswm penodol dros beidio â gwneud hynny, a bod y tâl yn codi'n flynyddol yn unol â chwyddiant.

Nododd y Cabinet fod Swyddfa Archwilio Cymru wedi galw i mewn y polisi corfforaethol yn ymwneud â ffioedd a thaliadau mewn Adroddiadau Gwella Blynyddol blaenorol gyda'r bwriad o gryfhau ei ymagwedd tuag at gynhyrchu incwm. Cydnabuwyd fod y polisi yn bennaf ynghylch dod â'r holl elfennau at ei gilydd mewn dogfen gorfforaethol ar gyfer tryloywder a sicrhau bod yr holl wasanaethau yn gweithio i'r un egwyddorion sylfaenol. O ran cyflwyno taliadau newydd, megis am wasanaethau gofal cymdeithasol, ystyriwyd ei bod yn hanfodol bod strategaeth ymgysylltu briodol â'r cyhoedd yn cael ei defnyddio ac i fod yn sensitif i anghenion y cyhoedd. Canolbwyntiodd peth o'r drafodaeth ar yr angen i feincnodi lefel y taliadau yn erbyn sectorau gwasanaeth eraill i sicrhau eu bod yn adlewyrchu'r gwerth cyfredol ar y farchnad a chadarnhawyd bod yr elfen hon eisoes wedi'i hysgrifennu yn y polisi.

Mynegodd y Cynghorydd Meirick Davies bryderon ynglŷn â chostau ychwanegol a osodwyd gan y Cyngor wrth dalu mewn arian parod am wasanaethau. Dywedodd y Pennaeth Cyllid ei fod yn costio llai yn gyffredinol i gasglu taliadau drwy ddebyd uniongyrchol ac felly anogwyd taliadau electronig. Cytunodd i edrych ymhellach i'r mater ac adrodd yn ôl i'r Cynghorydd Davies yn uniongyrchol.

***PENDERFYNWYD*** - bod y Cabinet yn cymeradwyo'r ddogfen '*Polisi Corfforaethol: Ffioedd a Thaliadau Incwm*' ynghlwm fel atodiad i'r adroddiad.

## **8 POLISI ISAFSWM DARPARIAETH REFENIW DIWYGIEDIG 2017 / 18**

Cyflwynodd y Cynghorydd Julian Thompson-Hill adroddiad yn gofyn am gymeradwyaeth y Cabinet ac argymhelliad o ddiwygiadau i Bolisi Isafswm Darpariaeth Refeniw (MRP) 2017/18 i'r Cyngor Sir.

Yn dilyn adolygiad o Bolisi MRP cyfredol y Cyngor, fe nodwyd trwy newid y dull ar gyfer gwneud yr MRP, y byddai cyfle i wneud arbediad sylweddol. Roedd y newid arfaethedig hefyd yn cyflwyno dull mwy cyfiawn o ddosrannu'r costau sy'n gysylltiedig â'r rhaglen gyfalaf. Arweiniwyd y Cabinet drwy'r wybodaeth dechnegol gan gynnwys methodolegau gwahanol y gellid eu mabwysiadu a'r rhesymu y tu ôl i'r diwygiadau a'r casgliad arfaethedig mai'r fethodoleg fwyaf priodol fyddai symud i linell syth bywyd yr ased a oedd yn ei hanfod yn ad-dalu dyled a aseswyd dros 50 mlynedd. Byddai'r newid arfaethedig yn rhyddhau £1.861 miliwn o arbedion arian parod yn 2017/18 a gostyngiad parhaus yn y gyllideb o £1.861 miliwn. Ychwanegodd y Pennaeth Cyllid y byddai'r diwygiadau'n effeithio'n well ar genedlaethau'r dyfodol oherwydd y byddai'r ddyled yn cael ei thalu. Roedd yn ddull darbodus o ymdrin ag MRP ac roedd wedi'i seilio ar asesiad cadarn o asedau perthnasol.

Ymatebodd yr Aelod Arweiniol a'r Pennaeth Cyllid i faterion penodol fel a ganlyn -

- gofynnwyd am sicrwydd na fyddai cyfyngu ar fenthycy yn y dyfodol o 2033 pan oedd angen ad-daliadau uwch.
- Eglurwyd bod y ddyled yn cael ei lledaenu dros gyfnod hirach ond y byddai'n cael ei ddileu yn y pen draw ac y byddai uchelbwyntiau ac iselbwyntiau dros y cyfnod hwnnw ond roedd swyddogion yn hyderus eu bod yn hawdd eu rheoli yn y cynllun cyffredinol. Rhoddwyd sicrwydd pellach na fyddai'r fethodoleg yn effeithio ar gapasiti'r Cyngor yn y dyfodol a'r risg fwyaf i'r awdurdod oedd cyllid cyffredinol yn hytrach na gwahaniaethau cymharol ymylol yn y gost o wasanaethu peth o'r ddyled hanesyddol. Eglurwyd y byddai arbediad cyllideb blynyddol rheolaidd ac y byddai hefyd arbediad arian parod yn y flwyddyn ariannol gyfredol trwy weithredu'r diwygiadau ar y pwynt hwn.
- O ran prynu cytundeb PFI Neuadd y Sir, eglurwyd, o ystyried y cyfraddau benthyca isel, ei bod wedi costio'n sylweddol llai i'r Cyngor i brynu eu hunain allan o'r cytundeb nag i barhau ag ef; gwnaed arbediad pellach ar gostau cynnal a chadw'r adeilad.

Croesawodd y Cabinet y newid polisi fel modd o arbed costau mewn ffordd nad oedd yn cael effaith negyddol ar wasanaethau tra hefyd yn pennu polisi MRP doeth fel y gwelir yn y wybodaeth dechnegol.

**PENDERFYNWYD** bod y Cabinet yn -

- (a) *cymeradwyo ac argymhell i'r Cyngor Sir y diwygiadau i'r polisi MRP ar gyfer 2017/18 -*
- *Polisi ar gyfer 2017/18 - Opsiwn 3 (Dull Bywyd Asedau - llinell syth) i'w ddefnyddio ar gyfer cyfrifo MRP ar fenthycy â chymorth sy'n ddyledus ar 31 Mawrth 2017. Y cyfrifiad fydd y dull 'llinell syth' dros 50 mlynedd.*
  - *Mae hyn yn cynrychioli newid o Opsiwn 1 (Dull Rheoleiddiol) fel y'i cymeradwywyd gan y Cyngor ar 14 Chwefror 2017 Polisi ar gyfer 2017/18 - Opsiwn 3 (Dull Bywyd Asedau - llinell syth) i'w defnyddio ar gyfer cyfrifo MRP ar fenthycy â chymorth a gafwyd ar neu ar ôl 1 Ebrill 2017. Y cyfrifiad fydd y dull 'llinell syth' dros nifer priodol o flynyddoedd, yn dibynnu ar y cyfnod y bydd y gwariant cyfalaf yn debygol o greu buddion. Mae hyn hefyd yn newid o Opsiwn 1.*
  - *Polisi ar gyfer 2017/18 - Opsiwn 3 (Dull Bywyd Asedau – llinell syth) i'w ddefnyddio ar gyfer cyfrifo MRP ar yr holl wariant cyfalaf a ariennir o fenthycy heb gymorth. Mae hyn yn cynrychioli parhad o'r polisi a gymeradwywyd.*
- (b) *cymeradwyo'r defnydd o'r arbediad arian parod yn 2017/18 a'r arbedion cyllideb rheolaidd o 2018/19 fel y'u nodir yn fersiwn ddiweddaraf y Cynllun Ariannol Tymor Canolig ac a grynhoir isod: -*
- *Arbedion Ariannol 2017/18 - argymhellir fod yr arbedion ariannol o £1.861 miliwn yn cael eu gosod yng Nghronfa Lliniaru'r Gyllideb er mwyn cynorthwyo i liniaru effeithiau'r gostyngiadau yn y gyllideb yn 2018/19*

- *Arbedion parhaus o 2018/19 – argymhellir gostwng y gyllideb ariannu cyfalaf o £1.861 miliwn fel rhan o'r strategaeth i gydbwysu cyllideb 2018/19.*

## **9 ADRODDIAD BLYNYDDOL RHEOLI TRYSORLYS 2016/17**

Cyflwynodd y Cynghorydd Julian Thompson-Hill yr adroddiad yn diweddarau'r aelodau ar berfformiad swyddogaeth rheoli'r trysorlys ac yn dangos cydymffurfiaeth â chyfyngiadau'r trysorlys a'r Dangosyddion Darbodus yn ystod 2016/17.

Wrth grynhoi'r adroddiad, tynnodd y Cynghorydd Thompson-Hill sylw at bwysigrwydd rheoli'r trysorlys a'r prif bwyntiau ar gyfer aelodau o ran benthyca a gweithgareddau buddsoddi. Cadarnhaodd hefyd bod y Cyngor yn cydymffurfio â'r holl ddangosyddion darbodus a osodwyd ac arweiniodd yr aelodau trwy'r dangosyddion hynny fel y manylir arnynt yn Atodiad B i'r adroddiad, gan gadarnhau cymarebau priodol o gostau ariannu a lefelau benthyca. O ran ymrwymadau parhaus i'r Cynllun Corfforaethol cadarnhaodd y Pennaeth Cyllid fod rhai prosiectau ariannu ysgolion wedi cymryd mwy o amser i ddwyn ffrwyth a bod cyllid wedi'i nodi i fynd ymlaen gyda'r prosiectau hynny cyn belled bod yr holl ofynion yn cael eu bodloni.

**PENDERFYNWYD** bod y Cabinet yn -

- Nodi perfformiad swyddogaeth rheoli trysorlys y Cyngor yn ystod 2016/17 a'i gydymffurfiaeth â'r dangosyddion darbodus, fel yr adroddwyd yn Adroddiad Rheoli Trysorlys Blynyddol 2016/17 (Atodiad 1 yr adroddiad) a*
- cadarnhau ei fod wedi darllen, deall ac ystyried yr Asesiad o'r Effaith ar Les (Atodiad 2 o'r adroddiad) fel rhan o'i ystyriaethau.*

## **10 ADRODDIAD CYLLID**

Cyflwynodd y Cynghorydd Julian Thompson-Hill, adroddiad yn rhoi manylion ynglŷn â'r sefyllfa ariannol ddiweddaraf a'r cynnydd a wnaed yn erbyn y strategaeth gyllidol y cytunwyd arni. Rhoddodd y crynodeb canlynol o sefyllfa ariannol y Cyngor-

- rhagwelwyd tanwariant net o £0.002miliwn ar gyfer cyllidebau gwasanaethau a chyllidebau corfforaethol
- arbedion effeithlonrwydd gwasanaeth gwerth £0.902m a oedd wedi'u cytuno eisoes fel rhan o'r gyllideb, gyda'r rhagdybiaeth y byddai'r cyfan yn cael ei ddarparu – byddai unrhyw eithriadau'n cael eu hadrodd i'r Cabinet pe bai angen
- amlygodd y risgiau a'r amrywiannau cyfredol mewn perthynas â meysydd gwasanaeth unigol gyda phwyslais penodol ar y gorwariant yn y Gwasanaethau Plant o ystyried yr anwadalrwydd mewn costau lleoli y sefydlwyd cronfa wrth gefn i'w liniaru, a
- darparodd ddiweddariad cyffredinol ar y Cyfrif Refeniw Tai, y Cynllun Cyfalaf Tai a'r Cynllun Cyfalaf (gan gynnwys yr elfen Cynllun Corfforaethol)

Cyfeiriwyd hefyd at y newidiadau yn y ddarpariaeth MRP a gymeradwywyd gan y Cabinet a defnydd o'r arbedion o £1.861m i helpu i liniaru gostyngiadau pellach i'r gyllideb yn y dyfodol.

Codwyd y materion canlynol wrth drafod –

- Ysgol Stryd y Rhos ac Ysgol Penbarras - Dywedodd y Cynghorydd Bobby Feeley bod un o'r llwybrau diogel i'r ysgolion wedi cael ei wrthod am gyllid oddi wrth y llywodraeth a gofynnodd am sicrwydd y byddai cyllid amgen yn cael ei sicrhau.
- Cadarnhaodd y Cynghorydd Huw Hilditch-Roberts fod y mater yn cael sylw ac adroddodd ar waith yn mynd rhagddo gyda'r bwriad o sicrhau cyllid ar gyfer y llwybr hwnnw. Diffygion Ysgolion - codwyd pryderon blaenorol ynghylch nifer yr ysgolion mewn diffyg ariannol a chynhaliwyd cyfarfodydd rhwng Aelodau Arweiniol a Swyddogion Cyllid a sicrhawyd bod y methodolegau ar waith i ddelio â'r diffygion hynny. Rhoddwyd sicrwydd hefyd fod y cynlluniau adfer ariannol yn cynnwys llinell amser glir a dyddiad penodedig a byddai'n destun monitro ac adolygu manwl.

**PENDERFYNWYD** bod y Cabinet yn nodi'r cyllidebau a bennwyd ar gyfer 2017/18 a'r cynnydd a wnaed o ran y strategaeth y cytunwyd arni.

## 11 RHAGLEN GWAITH I'R DYFODOL Y CABINET

Cyflwynwyd Rhaglen Gwaith i'r Dyfodol y Cabinet i'w hystyried a nododd yr aelodau eitem i'w ychwanegu at y rhaglen waith ar gyfer mis Hydref ynghylch contractau Byw Cymunedol Anabledd Dysgu Sir Ddinbych.

**PENDERFYNWYD** nodi Rhaglen Gwaith i'r Dyfodol y Cabinet.

## Gwahardd y wasg a'r cyhoedd

**PENDERFYNWYD** dan ddarpariaethau Adran 100A o Ddeddf Llywodraeth Leol 1972, gwahardd y Wasg a'r Cyhoedd o'r cyfarfod ar gyfer yr eitemau canlynol ar y sail y byddai gwybodaeth eithriedig yn debygol o gael ei datgelu fel y'i diffinnir ym Mharagraffau 14 o Ran 4 o Atodlen 12A o Ddeddf Llywodraeth Leol 1972.

## 12 PARC DŴR Y RHYL: DYFARNU CONTRACTAU

Cyflwynodd y Cynghorydd Hugh Evans yr adroddiad cyfrinachol yn gofyn am gymeradwyaeth y Cabinet i ddyfarnu contract ar gyfer y gwaith o ddatblygu Parc Dŵr y Rhyl.

Rhoddwyd gwybodaeth gefndir i'r Cabinet a oedd wedi arwain at benderfyniad y Cyngor i ddatblygu Parc Dŵr newydd yn y Rhyl fel rhan o raglen datblygu Adfywio a Glannau'r Rhyl ynghyd â chynnydd hyd yn hyn. Er mwyn cwrdd â'r rhaglen adeiladu a sicrhau sicrwydd cost, roedd angen dyfarnu'r contract ddechrau mis Medi. O ystyried y cyfyngiadau amser hynny, gwnaed y penderfyniad i ddyfarnu'r contract o dan gynllun dirprwyo'r Cyngor. Byddai unrhyw oedi wedi arwain at gostau ychwanegol a risgiau i amserlen y prosiect a chadarnhaodd Pennaeth y Gwasanaethau Cyfreithiol, Adnoddau Dynol a Democraidaidd ei fod yn fodlon ei fod yn benderfyniad priodol a wnaed o dan bwerau dirprwyedig.

***PENDERFYNWYD*** y dylid derbyn a nodi'r adroddiad.

Daeth y cyfarfod i ben am 12.50 p.m.

Mae tudalen hwn yn fwriadol wag



Adroddiad i'r:	<b>Cabinet</b>
Dyddiad y Cyfarfod:	21 Tachwedd 2017
Aelod / Swyddog Arweiniol:	<b>Bobby Feeley / Phil Gilroy</b>
Awdur yr Adroddiad:	<b>Katie Newe / Alison Heaton, Gwasanaethau Cymorth Cymunedol</b>
Teitl:	<b>CYNLLUNIAU CEFNOGI BYW YN Y GYMUNED ANABLEDD DYSGU SIR DDINBYCH - Estyniadau i gontractau presennol.</b>

## 1. Am beth mae'r adroddiad yn sôn?

Mae'r adroddiad yn rhoi gwybodaeth i'r Cabinet am y trefniadau cytundebol i gefnogi unigolion gydag anableddau dysgu mewn gwasanaethau byw â chymorth, gan gynnwys cais bod cytundebau presennol yn gallu cael eu hymestyn yn dibynnu ar yr arfer rhanbarthol i dendro ar draws Gogledd Cymru.

## 2. Beth yw'r rheswm dros lunio'r adroddiad hwn?

Angen penderfyniad ar ymestyn 18 o Gytundebau Cefnogi Byw yn y Gymuned i unigolion ag Anabledd Dysgu am gyfnod o ddwy flynedd yn unol â Chanllawiau Ariannol y Cyngor (Rheolau'r Weithdrefn Gontractau), yn ddibynnol ar gymeradwyaeth i drefniadau caffael ar gyfer y gwasanaethau hyn yn y dyfodol. Gweler atodiad 1 am fanylion bob cytundeb.

## 3. Beth yw'r Argymhellion?

Mae'r Cabinet yn cymeradwyo estyniadau 2 flynedd ar gyfer pob un o'r gwasanaethau.

## 4. Manylion yr adroddiad

- 4.1 Yn unol â chanllawiau cenedlaethol mae Byw yn y Gymuned wedi'i hen sefydlu yn Sir Ddinbych fel ffordd ddewisol y Cyngor i ddiwallu anghenion pobl ag Anableddau Dysgu nad ydyn nhw bellach yn medru byw gyda'u Gofalwyr. Wrth gaffael gwasanaethau o'r fath rhaid gwneud hynny yn unol â Rheolau Caffael Contractau'r Cyngor a chydymffurfio â chyfraith ddomestig a chyfraith yr UE, yn bennaf Rheoliadau Contractau Cyhoeddus 2015.
- 4.2 Gwasanaethau Cymorth Cymunedol wedi bod yn edrych ar ffyrdd eraill i gaffael Cymorth Byw yn y Gymuned i bobl ag Anableddau Dysgu. Ar hyn o bryd, pan mae cytundebau ar fin dod i ben, y weithdrefn gymeradwy fyddai i ymestyn y cytundeb gyda'r Darparwr presennol yn unol â Rheolau Caffael Contractau'r Cyngor ac yn amodol ar berfformiad boddhaol. Os nad yw hynny'n opsiwn, bydd rhaid gwneud

ymarfer tendro llawn yn unol â Rheoliadau Contractau Cyhoeddus a Rheolau Caffael Contractau'r Cyngor.

- 4.3 Mae cynlluniau comisiynu yn y dyfodol yn cynnwys dau lif gwaith parhaus. Yn lleol rydym yn edrych ar yr opsiwn o gynig Cyllidebau Cefnogaeth i alluogi Dinasyddion i gaffael cefnogaeth yn uniongyrchol gan eu Darparwr o ddewis, ac yn rhanbarthol mae Gwasanaethau Cymorth Cymunedol yn gweithio gyda 5 Awdurdod Lleol arall yng Ngogledd Cymru a Bwrdd Iechyd Prifysgol Betsi Cadwaladr ac ar fin dechrau'r broses o dendro Fframwaith Rhanbarthol i ddarparu Gofal Cartref ymysg Gwasanaethau eraill.
- 4.4 Bydd tri cham i'r broses dendro. Bydd Cam 1 ar gyfer darparu gofal gartref cyffredinol, bydd Cam 2 ar gyfer darparu gofal gartref uwch a Cham 3 yn darparu gwasanaethau i sefydliadau byw â chymorth. Mae'r contractau y cyfeirir atynt yn yr adroddiad yn cydfynd â Cham 3 ac yn destun proses Cyfnodolyn Swyddogol yr Undeb Ewropeaidd.
- 4.5 Mae gofyniad i ymestyn y contractau presennol i ganiatáu digon o amser i baratoi i ymrwymo'n llwyr gyda'r farchnad o dan y broses caffael fel y gwelir uchod. Y rheswm dros ymestyn y contractau presennol yn hytrach nag ail-gaffael ar yr amser hwn yw oherwydd y gost o ymgymryd â 2 wahanol dendr ar gyfer yr un darpariaeth Gwasanaeth a'r posibilrwydd cynhenid o ddrysu darparwyr y farchnad. Bydd y Fframwaith arfaethedig yn golygu effeithiolrwydd o ran cyfanswm amser staff ar gyfer tendro gwasanaethau, wrth sicrhau ansawdd a gwerth am arian wrth ddyfarnu contractau.
- 4.6 Yr amserlen bresennol yn fras ar gyfer Cam 3 yw rhwng 18 mis a 2 flynedd ac ar sail hynny byddai'r Contractau angen cael eu hymestyn am gyfnod o 2 flynedd i 31 Mawrth 2020. Bydd hynny hefyd yn caniatáu ar gyfer unrhyw oedi na ellir ei ragweld. Pe bai'r proses yn cael ei gwblhau yn gynharach byddai modd terfynu contractau yn gynt. Rydym yn hyderus bod proses gadarn a thryloyw yn cael ei ddatblygu ar hyn o bryd o fewn y broses caffael yn y Fframwaith, mae cam 3 yn mynd i fynd allan i'r Farchnad yng nghanol 2019.

## **5. Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?**

Mae'r ddarpariaeth gwasanaethau byw â chymorth i unigolion ag anableddau dysgu yn cefnogi'r flaenoriaeth gorfforaethol bresennol bod pobl ddiamddiffyn yn cael eu diogelu ac yn gallu byw mor annibynnol â phosibl.

## **6. Faint fydd yn ei gostio a sut fydd yn effeithio ar wasanaethau eraill?**

Mae cyllid parhaus ar gyfer y cynlluniau hyn wedi'u cynnwys yn y gyllideb. Dim costau ychwanegol ar wahân i'r codiadau angenrheidiol i sicrhau fod darparwyr yn gallu cwrdd â'u hanghenion cyfreithiol mewn perthynas â Chyflog Byw Cenedlaethol ac ati a fydd yn digwydd wrth gytuno i'r estyniadau.

## **7. Beth yw prif gasgliadau'r Asesiad o'r Effaith ar Les?**

Nid oes angen Asesiad o Effaith ar Les ar gyfer yr adroddiad hwn. Er hynny ynghlwm fel atodiad 2 mae'r Asesiad a gafodd ei gyflwyno gyda'r adroddiad mewn perthynas

i'r Cytundeb Gofal Cartref Rhanbarthol Gogledd Cymru i brynu gwasanaethau gofal cartref i oedolion a phlant a theluoedd gan ddarparwyr allanol. Dyma'r Cytundeb y mae'r adroddiad yn cyfeirio ato.

## **8. Pa ymgynghoriadau a gynhaliwyd gydag Archwilio ac eraill?**

- 8.1 Mae ymgynghori wedi bod ar sail Rhanbarthol, lle bu trafodaeth am gydweithio i ddatblygu proses caffael cyffredinol ar draws y chwe Awdurdod Lleol yng Ngogledd Cymru a Bwrdd Iechyd Prifysgol Betsi Cadwaladr. Mae ymgynghori wedi bod hefyd ymysg staff CSDd, Darparwyr, cynrychiolwyr o Adrannau Cyfreithiol a Chaffael, cydweithwyr o BIPBC, Dinasyddion a'u cynrychiolwyr/adfocadau.
- 8.2 Rhoddwyd ystyriaeth yn rhanbarthol i redeg ymarfer tendro bychan ar y cyd â chaffael cam un. Er hynny, y consensws o ran barn oedd y gall hynny arwain at ddyblygu gwaith i swyddogion a darparwyr yn ogystal â chynhyrfu unigolion sydd yn dibynnu ar ddarpariaeth gwasanaeth rheolaidd.
- 8.3 Fel rhan o'r broses caffael mae unigolion sy'n defnyddio'r gwasanaeth yn rhan o'r penderfyniadau ar gyfer darparwyr addas.

## **9. Datganiad y Prif Swyddog Cyllid**

Mae'n ymddangos yn gall i ymestyn y contractau hyn i gyd-fynd â'r amserlenni ar gyfer fframwaith rhanbarthol newydd. Mae costau'r contractau yn ymrwymiad ariannol sy'n bodoli eisoes.

## **10. Pa risgiau sydd yna ac oes yna unrhyw beth y gallwn ei wneud i'w lleihau?**

- 10.1 Byddai'r Awdurdod mewn sefyllfa fregus pe bai'n caffael gwasanaethau gan Ddarparwr heb gytundeb yn ei le.
- 10.2 Mae risgiau caffael ynghlwm â'r estyniad hwn ond mae'r rhain yn cael eu hysgafnhau trwy gyflwyno hysbysiad Cyfnodolyn Swyddogol yr Undeb Ewropeaidd ar wefan Gwerthu i Gymru a'r Porth Proactis a fydd yn cynnwys hysbysiad i'r farchnad bod proses caffael gystadleuol yn cael ei gweithredu. Bydd yn dangos i'r farchnad yr amserlen lle bydd caffael yn cymryd lle ac yn rhoi digon o amser i'r farchnad a darparwyr Gwasanaeth i ymateb yn briodol i wahoddiad i dendro.
- 10.3 O ran symud ymlaen gyda thendr o fewn amserlen mor gyfyngedig mae risgiau ynghlwm â dewis y cleient a gofal parhaus. Ymgynghori manwl ac ystyrlon angen ei wneud gyda holl ddefnyddwyr y gwasanaethau a'u teuluoedd/adfocadau.

## **11. Pŵer i wneud y Penderfyniad**

Mae'r Cynlluniau Byw yn y Gymuned yn cael eu cefnogi gan y Sir o dan Ddeddf Gwasanaethau Cymdeithasol a Lles (Cymru) 2014.

Rheoliadau Ariannol a Rheolau Gweithdrefn Contractau Cyngor Sir Ddinbych

Mae tudalen hwn yn fwriadol wag

Yn rhinwedd Paragraff(au) 14 Rhan 4, Atodlen 12A  
Deddf Llywodraeth Leol 1972.

Document is Restricted

Mae tudalen hwn yn fwriadol wag

**Appendix 2: Well-being Impact Assessment**

**Regional Domiciliary Care Tender 2017  
Wellbeing Impact Assessment Report**

This report summarises the likely impact of a proposal on the social, economic, environmental and cultural well-being of Denbighshire, Wales and the world.

Assessment Number:	126
Brief description:	A dynamic framework tender for domiciliary care services led by Denbighshire on behalf of Ynys Mon, Gwynedd, Conwy, Flintshire, Wrexham Councils and Betsi Cadwaladr university Health Board (North Wales Social Care & Wellbeing Services Improvement Collaborative).
Date completed:	09/12/2016 16:40:34 Version: 1
Completed by:	Maria Bell
Responsible Service:	Community Support Services
Localities affected by the proposal:	Whole County

**IMPACT ASSESSMENT SUMMARY AND CONCLUSION**

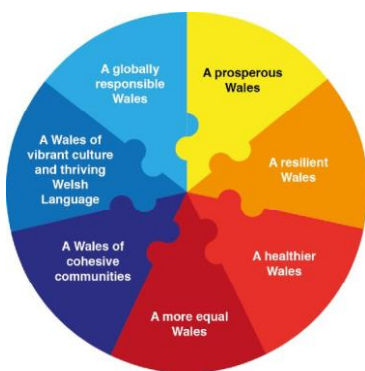
Before we look in detail at the contribution and impact of the proposal, it is important to consider how the proposal is applying the sustainable development principle. This means that we must act “in a manner which seeks to ensure that the needs of the present are met without compromising the ability of future generations to meet their own needs”.

**Score for the sustainability of the approach**

Could some small changes in your thinking produce a better result?  
(3 out of 4 stars) Actual

score: 21 / 24.

**Summary of impact**



**Wellbeing Goals**

- A prosperous Denbighshire Positive
- A resilient Denbighshire Positive
- A healthier Denbighshire Positive
- A more equal Denbighshire Positive
- A Denbighshire of cohesive communities Positive
- A Denbighshire of vibrant culture and thriving Welsh language Positive
- A globally responsible Denbighshire

**Main conclusions**

Overall, this project (tender) is expected to deliver a positive impact on the wellbeing of North Wales citizens, in particular - the people receiving care & support at home ('service users') - the workforce delivering the care & support, As this contract (agreement) is only for 6 years (4 + 2 years) it is not possible to quantify the benefits to 2050 This project takes into account the Population Needs Assessment undertaken as a requirement of the Social Services & Wellbeing (Wales) Act 2014. The project has taken account (where possible) of: - the Regulation & Inspection of Social care (Wales) Act 2016 and its planned implementation during the life of this agreement - The national review of domiciliary care in Wales (by the Care & Social Services Inspectorate for Wales) Above & Beyond 2016.

## THE LIKELY IMPACT ON DENBIGHSHIRE, WALES AND THE WORLD

### **A prosperous Denbighshire**

Overall Impact	Positive
Justification for impact	The selection criteria for domiciliary care agencies to be offered an agreement (contract) for delivery is based on most economically advantageous criteria – related to social value / impact and cost of delivery.

#### **Positive consequences identified:**

We are aiming to reduce unnecessary travel as a result of current commissioning practice. Our project aims to ensure financially sustainable provision of this essential front line services (care and support for people in their own home).

Our project is seeking to improve workforce terms and conditions, reduce staff turnover and deliver more continuity of care for the people supported. We are asking potential suppliers to demonstrate how they will offer additional social value, collaborating with others to provide more jobs and support people who are NEET or claiming welfare benefits to obtain work in the social care sector. We have worked with the North Wales Economic Ambitions Board to identify the Health & Social Care sector as a growth sector for future employment across North Wales.

Our project is seeking to improve workforce terms and conditions, reduce staff turnover and deliver more continuity of care for the people supported. The Regional Commissioning & Workforce Boards (Health & Social Care partners) will work with employers and colleges to develop skills and promote qualifications for Care & Support Workers.

The tender documents will include quality delivery requirements and key performance indicators.

Employers are invited to identify how they could offer additional social value / support to their workforce, including support with childcare

#### **Unintended negative consequences identified:**

#### **Mitigating actions: A resilient Denbighshire**

Overall Impact	Positive
Justification for impact	Partners are seeking to ensure most efficient travel / transport options in their procurement of domiciliary care; this will reduce unnecessary cost and maximise capacity when we are expecting unprecedented future demand (due to ageing profile of our communities) with reducing workforce availability.

#### **Positive consequences identified:**

Partners are seeking to ensure most efficient travel / transport options in their procurement of domiciliary care; this will reduce unnecessary cost and maximise capacity when we are expecting unprecedented future demand (due to ageing profile of our communities) with reducing workforce availability.

#### **Unintended negative consequences identified:**

#### **Mitigating actions:**

#### **A healthier Denbighshire**

Overall Impact	Positive
Justification for impact	The main focus of the care & support at home service is to promote and enhance (where possible) the wellbeing and independence of North



	Wales citizens by delivering 'what matters' to them and preventing where possible unnecessary admissions to care homes / hospitals.
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**Positive consequences identified:**

The main focus of the care & support art home service is to promote and enhance (where possible) the wellbeing and independence of North Wales citizens; including physical and social aspects of wellbeing

The main focus of the care & support art home service is to promote and enhance (where possible) the wellbeing and independence of North Wales citizens including social and leisure aspects of wellbeing

The main focus of the care & support art home service is to promote and enhance (where possible) the wellbeing and independence of North Wales citizens; including emotional and mental aspects of wellbeing

This is a project which includes the commissioning of care & support at home to meet people's health care needs. Some of the services purchased under this project would be under the direction of a qualified and registered health practitioner, such as a nurse.

**Unintended negative consequences identified:**

**Mitigating actions:**

**A more equal Denbighshire**

Overall Impact	Positive
Justification for impact	The main focus of the care & support at home service is to promote and enhance (where possible) the wellbeing and independence of North Wales citizens.

**Positive consequences identified:**

Tenderers / providers will need to demonstrate their policy & practice in relation to the Equalities Act 2010 and the Welsh Language Standards of the commissioning partners; including how they will train their staff and ensure on-going compliance.

The main focus of the care & support art home service is to promote and enhance (where possible) the wellbeing and independence of North Wales citizens. Tenderers / providers will need to demonstrate their ability to assess when to provide information or refer / signpost citizens for additional Information Advice, Advocacy or Guidance.

Tenderers / providers will need to demonstrate their ability to assess when to provide information or refer / signpost citizens for additional Information Advice, Advocacy or Guidance.

**Unintended negative consequences identified:**

**Mitigating actions:**

**A Denbighshire of cohesive communities**

Overall Impact	Positive
Justification for impact	The main focus of the care & support at home service is to promote and enhance (where possible) the wellbeing and independence of North Wales citizens.

**Positive consequences identified:**

Tenderers / providers will need to demonstrate their experience & knowledge of safeguarding people at risk (adults / children at risk)

The main focus of the care & support art home service is to promote and enhance (where possible) the wellbeing and independence of North Wales citizens; including maintaining / developing social relationship and community participation of people they support

**Unintended negative consequences identified:**

**Mitigating actions:**

**A Denbighshire of vibrant culture and thriving Welsh language**

Overall Impact	Positive
Justification for impact	Tenderers / providers will need to demonstrate: - Their policy & practice in relation to the Welsh Language Standards of the commissioning partners – How they intend to meet the cultural and linguistic needs of the local population(s) (each County): the tender makes specific needs to understanding of the Welsh language, culture and heritage.

**Positive consequences identified:**

Tenderers / providers will need to demonstrate their policy & practice in relation to the Welsh Language Standards of the commissioning partners; including providing initial and annual information about their staff skills in welsh language and how they will provide training to promote the welsh language

Tenderers / providers will need to demonstrate their policy & practice in relation to the Welsh Language Standards of the commissioning partners; including providing initial and annual information about their staff skills in welsh language and how they will provide training to promote the welsh language

Tenderers / providers will need to demonstrate how they intend to meet the cultural and linguistic needs of the local populations/s (each County); the tender makes specific needs to understanding of the Welsh language, culture and heritage

**Unintended negative consequences identified:**

**Mitigating actions:**

**A globally responsible Denbighshire**

Overall Impact	
Justification for impact	

**Positive consequences identified:**

**Unintended negative consequences identified:**

**Mitigating actions:**

<b>Adroddiad i'r:</b>	Cabinet
<b>Dyddiad y Cyfarfod:</b>	21 Tachwedd 2017
<b>Aelod / Swyddog Arweiniol:</b>	Y Cyng. Julian Thompson-Hill / Richard Weigh, Pennaeth Cyllid
<b>Awdur yr Adroddiad:</b>	Steve Gadd, Prif Gyfrifydd
<b>Teitl:</b>	<b>Adroddiad Cyllid (Hydref 2017/18)</b>

## 1. Am beth mae'r adroddiad yn sôn?

Mae'r adroddiad yn rhoi manylion cyllideb refeniw ac arbedion y Cyngor fel y cytunwyd arnynt ar gyfer 2017/18. Mae'r adroddiad hefyd yn rhoi diweddariad cryno o'r Cynllun Cyfalaf yn ogystal â'r Cyfrif Refeniw Tai a'r Cynllun Cyfalaf Tai.

## 2. Beth yw'r rheswm dros lunio'r adroddiad hwn?

Pwrpas yr adroddiad yw rhoi'r newyddion diweddaraf am sefyllfa ariannol bresennol y Cyngor a chadarnhau'r cyllidebau gwasanaeth y cytunwyd arnynt ar gyfer 2017/18.

## 3. Beth yw'r Argymhellion?

Argymhellir y camau gweithredu canlynol:

- 3.1 Bod Aelodau'r Cabinet yn nodi'r cyllidebau a bennwyd ar gyfer 2017/18 a'r cynnydd yn erbyn y strategaeth y cytunwyd arni.
- 3.2 Bod Aelodau'r Cabinet yn cymeradwyo trosglwyddo tanwariant y Gwasanaeth Gwella Busnes a Moderneiddio (£35,000) i gronfa wrth gefn System Rheoli Dogfennau a Chofnodion Electronig er mwyn cynorthwyo ag estyn y prosiect digideiddio.
- 3.3 Bod Aelodau'r Cabinet yn cefnogi cyflwyniad Achos Busnes Llawn ar gyfer adeilad ysgol a chyfleusterau newydd i Ysgol Llanfair i Lywodraeth Cymru (gweler Atodiad 4).

## 4. Manylion yr adroddiad

Mae'r adroddiad yn crynhoi cyllideb refeniw'r Cyngor ar gyfer 2017/18 yn **Atodiad 1**. Cyllideb refeniw net y Cyngor yw £189.252 miliwn (£185.062 miliwn yn 16/17). Rhagwelwyd y bydd gorwariant o £0.002 miliwn ar wasanaethau a chyllidebau corfforaethol (£0.002 miliwn o orwariant ar ddiwedd mis Awst). Mae'r naratif o amgylch y risgiau a'r rhagdybiaethau presennol sy'n sail i'r asesiad hwn yn cael eu hamlinellu yn Adran 6.

Cytunwyd ar arbedion o £0.902 miliwn fel rhan o'r gyllideb. Mae'r arbedion effeithlonrwydd hyn yn ffurfio rhan o'r elfen 'busnes fel arfer' ar gyfer gwasanaethau ac felly tybir y bydd yr holl arbedion effeithlonrwydd yn cael eu gwneud. Bydd unrhyw eithriad yn cael ei adrodd wrth y Cabinet.

## 5. Sut mae'r penderfyniad yn cyfrannu at y Blaenoriaethau Corfforaethol?

Mae rheoli cyllidebau refeniw a chyfalaf y Cyngor yn effeithiol a chyflawni'r strategaeth gyllidebol y cytunwyd arni yn sylfaen i weithgarwch ym mhob maes, gan gynnwys blaenoriaethau corfforaethol.

## 6. Faint fydd yn ei gostio a sut fydd yn effeithio ar wasanaethau eraill?

Mae naratifau gwasanaethau pwysig yn egluro amrywiant a risgiau i'w gweld yn y paragraffau isod.

Ar hyn o bryd rhagwelir y bydd **Cwsmeriaid, Cyfathrebu a Marchnata** yn gorwario o £125,000 (nodwyd £106,000 ym mis Medi). Mae'r pwysau yn bennaf oherwydd costau staff wrth gefn uwch na'r disgwyl a chostau TG ychwanegol. Mae'r cynnydd o ran gorwariant yn ymwneud yn bennaf â chynnydd mewn costau cysylltiedig ag ailwampio Llyfrgell Llanelwy. Mae'r gwasanaeth yn gweithio'n galed ar hyn o bryd i nodi arbedion i leihau'r gorwariant.

Rhagwelir y bydd **Gwella a Moderneiddio Busnes** bellach yn tanwario o £35,000 (adennill costau yn yr adroddiad diwethaf). Mae'r tanwariant hwn yn bennaf oherwydd arbedion swyddi gwag ac incwm uwch na'r disgwyl ar gyfer y Tîm Rheoli Prosiect. Argymhellir bod y tanwariant hwn yn cael ei roi yng nghronfa wrth gefn System Rheoli Dogfennau a Chofnodion Electronig er mwyn cynorthwyo ag estyn y prosiect digideiddio.

Rhagwelir y bydd **Gwasanaethau Cymorth Cymunedol** yn adennill eu costau. Fodd bynnag fel y nodwyd mewn adroddiadau blaenorol mae hyn ar ôl dynodi cyllideb ychwanegol o £750,000 yn ystod proses gyllido 17/18, £633,000 o gyllid ychwanegol gan Lywodraeth Cymru, £500,000 o incwm ychwanegol un tro yn ymwneud ag incwm gohiriedig a defnyddio £1.1 miliwn o gronfeydd wrth gefn y gwasanaeth. Mae gorwariant o fewn y Gwasanaeth Iechyd Meddwl a'r Gwasanaethau Darparu Mewnol yn fwy na a ragwelwyd ac yn parhau i fod yn achosi pryder ar gyfer 18/19 ac wedi hynny. Mae'r pwysau hyn yn cael eu hystyried fel rhan o broses cyllideb 18/19.

Ar hyn o bryd rhagwelir y bydd **Addysg a Gwasanaeth Plant** yn gorwario o £566,000 (Gorwariant o £196,000 wedi'i adrodd i'r Cabinet ym mis Medi). Fel y nodwyd yn yr adroddiad diwethaf, roedd nifer o achosion yn cael eu hasesu a nodwyd bod y gorwariant yn debygol o gynyddu gan y bod rheiny eisoes wedi'u penderfynu. Mae Gwasanaethau Plant yn parhau i fod yn faes risg uchel oherwydd natur gyfnewidiol niferoedd achosion a phwysau chwyddiannol ar gostau lleoliadau. Gall pob lleoliad unigol fod yn arbennig o ddrud ac felly gall unrhyw gynnydd mewn niferoedd gael effaith fawr ar y gyllideb. Cyfanswm y gorwariant yn erbyn cyllideb sylfaenol Gwasanaethau Plant yw £1,265,000 ar hyn o bryd (£968,000 yn Adroddiad mis Medi). Fodd bynnag caiff hyn ei osod yn rhannol yn erbyn defnydd a gynlluniwyd o Gronfa Wrth Gefn Lleoliadau a gafodd ei rhoi o'r neilltu o adnoddau Corfforaethol ddiweddedd y flwyddyn ddiwethaf (£522,000) a chyfraniad ariannol pellach gan Gronfeydd Wrth Gefn Corfforaethol o £177,000 ar gyfer achos arbennig o ddrud a sensitif. Mae'r pwysau yn y maes hwn hefyd yn cael ei drafod fel rhan o broses gyllideb 2018/19.

Mae **Gwasanaethau Cyfreithiol, AD a Democrataidd** yn dangos tanwariant o £29,000 oherwydd nifer o amrywiannau bach ac arbedion swyddi gwag ar draws y gwasanaeth (tanwariant o £8,000 wedi'i adrodd yn y cyfarfod Cabinet diwethaf)

Ar hyn o bryd rhagwelir y bydd **Prifffyrdd ac Amgylchedd** yn gorwario o £231,000 (gorwariant o £162,000 wedi'i adrodd yn y cyfarfod Cabinet diwethaf). Mae'r rhan fwyaf o'r gorwariant (£164,000 – cynnydd o £17,000 o'r hyn yr adroddwyd ym mis Medi) yn ymwneud â diffyg mewn incwm a ragwelir ar gyfer y Tîm Prosiectau Mawr. Mae hyn wedi bod yn broblem dros y blynyddoedd diwethaf (gorwariant o £45,000 y llynedd) ond maent wedi eu cynnwys o fewn dyraniad cyllideb y gwasanaeth. Mae'r prif reswm dros y diffyg incwm yn ymwneud â chyfanswm y gwaith ac ad-daliad gan Asiantaeth Cefnffyrdd Gogledd a Chanolbarth Cymru.

Er bod pwysau o £300,000 wedi ei gynnwys yn y gyllideb ar gyfer Cludiant I'r Ysgol 2017/18, bydd angen monitro effaith gweithredu'r polisi newydd yn ofalus drwy gydol y flwyddyn. Ar hyn o bryd rhagwelir y bydd Cludiant I'r Ysgol yn gorwario o £40,000 yn dilyn adolygiad llawn o'r contractau y cytunwyd arnynt ar gyfer y flwyddyn academaidd newydd a ddechreuodd ym mis Medi.

**Corfforaethol** – Ar hyn o bryd amcangyfrifir y bydd cronfeydd corfforaethol wrth gefn ar gael i'w rhyddhau er mwyn cyllido gorwariant y gwasanaeth. Bydd hyn yn golygu na fydd yn rhaid i ni ddefnyddio mwy na'r lefel o Falansau sydd wedi eu cynnwys yn y gyllideb. Fodd bynnag mae'r posibilrwydd yn parhau y bydd gorwariant pellach gan wasanaethau yn golygu y bydd angen cyfraniad gan lefel sylfaenol Balansau.

**Ysgolion** – Er bod ysgolion wedi derbyn amddiffyniad o 1.85% (£1.173 miliwn) ar gyfer 2016/17 maent hefyd wedi gorfod canfod arbedion effeithlonrwydd i ariannu pwysau chwyddiannol o tua £2.5 miliwn. Roedd gan ysgolion ddiffyg net o £1.056 miliwn y flwyddyn ariannol ddiwethaf, sef lleihad o £2.618 miliwn yn y balansau a ddygwyd ymlaen o 2015/16 (£1.562 miliwn). Ar ddiwedd Awst y rhagolwg ar gyfer balansau'r ysgol yw balans diffyg net o £1.118 miliwn, sy'n ostyngiad bach o £0.062 miliwn ar y balansau a ddygwyd ymlaen o 2016/17. Mae ysgolion yn parhau i weithio'n agos gyda chydweithwyr Cyllid Addysg ar gynlluniau ariannol manwl ar gyfer y flwyddyn ariannol newydd a dros y ddwy flynedd nesaf ar gyfer darparu cyllidebau cytbwys hir dymor. Derbyniodd ysgolion £2.1 miliwn yn 2017/18, sy'n fwy na chwyddiant a thwf demograffig. Y gobaith yw cydgrynhoi sefyllfa ariannol y rhan fwyaf o'r ysgolion yn 2017/18 ond bydd y sefyllfa yn cael ei monitro'n ofalus a'i hadrodd i Aelodau. Mae cyllidebau ysgolion heb eu dirprwyo ar hyn o bryd yn tanwario o tua £60,000 oherwydd y gostyngiad mewn rhwymedigaethau pensiwn hanesyddol ers cyfnod Cyngor Sir Clwyd.

**Y Cyfrif Refeniw Tai (CRT)** Mae'r sefyllfa refeniw ddiweddaraf yn tybio y bydd gostyngiad mewn balansau ar ddiwedd y flwyddyn o £900,000 sy'n £585,000 yn fwy na gostyngiad a gyllidebwyd o £315,000 sy'n bennaf oherwydd cynnydd mewn buddsoddiad yn Atgyweirio a Chynnal a Chadw. Rhagwelir y bydd balansau'r CRT felly yn £1.647 miliwn ar ddiwedd y flwyddyn. Mae'r gyllideb Gyfalaf o £10.15m yn cael ei rhannu rhwng gwelliannau arfaethedig i'r stoc dai bresennol (£6m) a derbyniadau a datblygiadau tai newydd (£4m).

**Rheoli'r Trysorlys** - Ar ddiwedd mis Hydref, roedd cyfanswm benthyciadau'r cyngor yn £198.959m ar gyfradd gyfartalog o 4.59%. Roedd balansau buddsoddiad yn £4 miliwn ar gyfradd gyfartalog o 0.1%.

Mae crynodeb o **Gynllun Cyfalaf** y Cyngor yn **Atodiad 2**. Mae'r cynllun cyfalaf a gymeradwywyd yn £41.4 miliwn ac mae'r gwariant hyd yma yn £18.8 miliwn. Hefyd yn Atodiad 2 mae'r gwariant arfaethedig o £16.8m ar y Cynllun Corfforaethol. Mae **Atodiad 3** yn cynnwys diweddariad ar y prif brosiectau sydd wedi eu cynnwys yn y Cynllun Cyfalaf.

Ym mis Ionawr 2017, cefnogodd y Cabinet yr argymhelliad i gyflwyno Achos Amlinellol Strategol/ Achos Busnes Amlinellol cyfun ar gyfer adeilad a chyfleusterau newydd yn Ysgol Llanfair i Lywodraeth Cymru. Ystyriwyd hyn gan Lywodraeth Cymru ym mis Medi 2017 a chafwyd caniatâd i gyflwyno Achos Busnes Llawn ym mis Hydref 2017. Bydd y cynllun arfaethedig yn cynnwys datblygu ysgol newydd ar gyfer 126 o ddisgyblion llawn amser a 18 o ddisgyblion rhan amser. Y rhagamcan diweddaraf o'r gost yw £5.4m. Adolygwyd yr achos busnes llawn gan y Grŵp Buddsoddi Strategol ar 24 Hydref 2017 ac argymhellwyd bod y Cabinet yn cefnogi cyflwyno achos busnes llawn ar gyfer adeilad newydd a chyfleusterau yn Ysgol Llanfair i Lywodraeth Cymru. Mae'r Achos Busnes Llawn yn **Atodiad 4**.

## 7. Beth yw prif gasgliadau'r Asesiad o'r Effaith ar Les?

Cynhaliwyd Asesiad Lles ar gyfer elfen arbedion effeithlonrwydd cynigion y gyllideb a chafodd ei gyflwyno i'r Cyngor ar 31 Ionawr. Daeth yr asesiad i'r casgliad bod y cynigion effeithlonrwydd naill ai'n gadarnhaol neu'n niwtral o'u hasesu yn erbyn y saith nod lles.

## 8. Pa ymgynghoriadau a gynhaliwyd gydag Archwilio ac eraill?

Yn ogystal â'r adroddiadau rheolaidd i'r Pwyllgor Llywodraethu Corfforaethol, mae proses y gyllideb wedi ei hystyried gan gyfarfodydd y Tîm Gweithredol Corfforaethol, yr Uwch Dîm Arweinyddiaeth, Briffio'r Cabinet a Briffio'r Cyngor. Cynhaliwyd gweithdai cyllideb rheolaidd gydag aelodau etholedig i archwilio cyllidebau gwasanaeth ac ystyried y cynigion o ran y gyllideb. Hysbyswyd yr holl aelodau o staff am y broses o osod y gyllideb ac ymgynghorwyd yn llawn â staff sy'n cael eu heffeithio neu bwriedir gwneud hynny, yn unol â pholisïau a gweithdrefnau Adnoddau Dynol y Cyngor. Ymgynghorwyd ag Undebau Llafur drwy'r Cydbwyllgor Ymgynghorol Lleol.

## 9. Datganiad y Prif Swyddog Cyllid

Mae'n bwysig bod gwasanaethau'n parhau i reoli cyllidebau'n ddoeth a bod unrhyw arian dros ben o fewn y flwyddyn yn cael ei ystyried yng nghyd-destun y sefyllfa ariannol tymor canolig, yn arbennig o ystyried graddfa'r gostyngiadau y mae'n ofynnol eu gwneud yn y gyllideb yn ystod y ddwy neu dair blynedd nesaf.

Mae pwysau penodol yn amlwg yng nghyllidebau gofal cymdeithasol (Oedolion a Phlant fel ei gilydd) ond oherwydd y buddsoddi mewn pwysau gwasanaeth ac ad-dalu cronfeydd wrth gefn y gwasanaeth ar ddiwedd y flwyddyn y gobaith yw cadw'r pwysau hyn o fewn y flwyddyn bresennol. Bydd y sefyllfa'r ddau wasanaeth yn cael ei monitro'n

ofalus ac ar hyn o bryd mae'r gwasanaethau hyn yn cael eu hystyried fel rhan o'r broses gyllideb ar gyfer 2018/19.

Mae'r rhagolygon yn dangos y bydd balansau ysgolion yn dechrau sefydlogi ond bydd y sefyllfa'n cael ei hadolygu'n ofalus iawn. Mae Cyllid Addysg yn gweithio'n agos iawn gydag ysgolion i ddatblygu cynlluniau cadarnhau ac, yn ogystal, mae prif ac uwch swyddogion addysg a chyllid yn cyfarfod yn rheolaidd i adolygu'r cynlluniau hynny a chymryd unrhyw gam gweithredu yn ôl yr angen.

**10. Pa risgiau sydd yna ac oes yna unrhyw beth y gallwn ei wneud i'w lleihau?**

Mae hwn yn parhau yn gyfnod ariannol heriol a bydd methu cyflawni'r strategaeth a gytunwyd o ran y gyllideb yn rhoi pwysau ychwanegol ar wasanaethau yn y blynyddoedd ariannol presennol ac yn y dyfodol. Bydd monitro a rheoli'r gyllideb yn effeithiol yn helpu i sicrhau bod y strategaeth ariannol yn cael ei chyflawni.

**11. Pŵer i wneud y Penderfyniad**

Dan Adran 151 Deddf Llywodraeth Leol 1972, mae'n ofynnol i awdurdodau lleol wneud trefniadau ar gyfer gweinyddu eu materion ariannol yn briodol.

Mae tudalen hwn yn fwriadol wag



## Appendix 1

## DENBIGHSHIRE COUNTY COUNCIL REVENUE BUDGET 2017/18

Oct-17	Net Budget 2016/17 (Restated) £'000	Budget 2017/18			Projected Outturn							Variance Previous Report £'000
		Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000	Expenditure £'000	Income £'000	Net £'000	Net %	
Customers, Communications and Marketing	2,696	3,168	-338	2,830	3,375	-420	2,955	207	-82	125	4.42%	106
Education and Children's Service	14,126	26,909	-13,955	12,954	28,795	-15,275	13,520	1,886	-1,320	566	4.37%	196
Business Improvement and Modernisation	4,572	5,413	-785	4,628	5,567	-974	4,593	154	-189	-35	-0.76%	0
Legal, HR and Democratic Services	2,396	3,949	-1,325	2,624	4,071	-1,476	2,595	122	-151	-29	-1.11%	-8
Facilities, Assets and Housing	6,965	22,697	-15,089	7,608	23,114	-15,506	7,608	417	-417	0	0.00%	0
Finance	2,888	5,260	-2,346	2,914	5,216	-2,302	2,914	-44	44	0	0.00%	0
Highways and Environmental Services	17,065	33,301	-15,912	17,389	33,666	-16,046	17,620	365	-134	231	1.33%	162
Planning and Public Protection	2,941	5,958	-2,969	2,989	6,261	-3,272	2,989	303	-303	0	0.00%	-8
Community Support Services	31,218	46,899	-14,537	32,362	49,485	-17,123	32,362	2,586	-2,586	0	0.00%	0
<b>Total Services</b>	<b>84,867</b>	<b>153,554</b>	<b>-67,256</b>	<b>86,298</b>	<b>159,550</b>	<b>-72,394</b>	<b>87,156</b>	<b>5,996</b>	<b>-5,138</b>	<b>858</b>	<b>0.99%</b>	<b>448</b>
Corporate	18,178	55,481	-36,532	18,949	54,623	-36,532	18,091	-858	0	-858	-4.53%	-448
Precepts & Levies	4,364	4,525	0	4,525	4,525	0	4,525	0	0	0	0.00%	0
Capital Financing	13,214	12,965	0	12,965	12,965	0	12,965	0	0	0	0.00%	0
<b>Total Corporate</b>	<b>35,756</b>	<b>72,971</b>	<b>-36,532</b>	<b>36,439</b>	<b>72,113</b>	<b>-36,532</b>	<b>35,581</b>	<b>-858</b>	<b>0</b>	<b>-858</b>	<b>-2.35%</b>	<b>-448</b>
<b>Council Services &amp; Corporate Budget</b>	<b>120,623</b>	<b>226,525</b>	<b>-103,788</b>	<b>122,737</b>	<b>231,663</b>	<b>-108,926</b>	<b>122,737</b>	<b>5,138</b>	<b>-5,138</b>	<b>0</b>	<b>0.00%</b>	<b>0</b>
<b>Schools &amp; Non-delegated School Budgets</b>	<b>64,439</b>	<b>75,296</b>	<b>-8,781</b>	<b>66,515</b>	<b>75,344</b>	<b>-8,827</b>	<b>66,517</b>	<b>48</b>	<b>-46</b>	<b>2</b>	<b>0.00%</b>	<b>2</b>
<b>Total Council Budget</b>	<b>185,062</b>	<b>301,821</b>	<b>-112,569</b>	<b>189,252</b>	<b>307,007</b>	<b>-117,753</b>	<b>189,254</b>	<b>5,186</b>	<b>-5,184</b>	<b>2</b>	<b>0.00%</b>	<b>2</b>
<b>Housing Revenue Account</b>	<b>-257</b>	<b>15,179</b>	<b>-14,864</b>	<b>315</b>	<b>15,578</b>	<b>-14,678</b>	<b>900</b>	<b>399</b>	<b>186</b>	<b>585</b>		<b>617</b>

Mae tudalen hwn yn fwiadol wag

**General Capital Plan**

		2017/18	2018/19	2019/20	2020/21
		£000s	£000s	£000s	£000s
<b>Capital Expenditure</b>					
	Total Estimated Payments - General	23,961	12,536	171	200
	Total Estimated Payments - Corporate Plan Contingency	16,808	1,179	0	0
		658	500	500	500
	<b>Total</b>	<b>41,427</b>	<b>14,215</b>	<b>671</b>	<b>700</b>
<b>Capital Financing</b>					
1	External Funding	16,940	9,767	4,869	4,869
2	Receipts and Reserves	11,206	211		
3	Prudential Borrowing	13,281	8,606	171	200
5	Unallocated Funding	0	(4,369)	(4,369)	(4,369)
	<b>Total Capital Financing</b>	<b>41,427</b>	<b>14,215</b>	<b>671</b>	<b>700</b>

**Corporate Plan**

Revised February 2016

		£000s	£000s	£000s	£000s
<b>Approved Capital Expenditure</b>					
	Cefndy Healthcare Investment	103			
	Extra Care	94			
<b>included in above plan</b>					
	Highways Maintenance and bridges	3,179			
	New Ruthin School	8,467	236		
	Feasibility Study - Carreg Emlyn	131			
	Llanfair New School	339			
	Rhyl High School	437			
	Ysgol Bro Dyfrdwy - Dee Valley West Review	33			
	Bodnant Community School	69			
	Ysgol Glan Clwyd	3,221	274		
	Faith Based Secondary	735	669		
	<b>Estimated Capital Expenditure</b>	<b>7,024</b>	<b>25,384</b>	<b>9,422</b>	
	<b>Total Estimated Payments</b>	<b>23,832</b>	<b>26,563</b>	<b>9,422</b>	<b>0</b>
<b>Approved Capital Funding</b>					
	External Funding	8,062	30		
	Receipts and Reserves	4,127	206		
	Prudential Borrowing	4,619	943		
	<b>Estimated Capital Funding</b>	<b>2,170</b>	<b>12,898</b>	<b>592</b>	
	External Funding	2,170	12,898	592	
	Receipts and Reserves	1,505	3,438	4	
	Prudential Borrowing	3,349	9,048	8,826	
	<b>Total Estimated Funding</b>	<b>23,832</b>	<b>26,563</b>	<b>9,422</b>	<b>0</b>

Mae tudalen hwn yn fwiadol wag

### Appendix 3 - Major Capital Projects Update - October 2017

<b>Rhyl Harbour Development</b>	
Total Budget	£10.654m
Expenditure to date	£10.579m
Estimated remaining spend in 2017/18	£ 0.075m
Future Years estimated spend	£ 0.000m
Funding	WG £2.545m; WEFO £5.899m; Sustrans £0.700m: RWE £155k; WREN/NRW £83k and DCC £1.272m
<b>Narrative:</b>	
<p>Now that the bridge has been operational for a few years, it is apparent that the maintenance schedule needs to be revised to ensure that the bridge is properly maintained for the longer term. The Corporate Executive Team have considered a report regarding the maintenance regime and have agreed in-principle to amend the maintenance schedule, although decisions about the long term funding of this have still to be finalised. When the revised bridge maintenance contract has been agreed with Dawnus Construction Ltd, the final account for the bridge will be negotiated and this will complete the project.</p>	
Forecast In Year Expenditure 17/18	£0.075m

<b>21<sup>st</sup> Century Schools Programme - Rhyl New School</b>	
Total Budget	£23.822m
Expenditure to date	£23.408m
Estimated remaining spend in 17/18	£ 0.414m
Future Years estimated spend	£ 0.000m
Funding	DCC £10.133m; WG £13.689m
<b>Narrative:</b>	
<p>The project has provided a new school building for Rhyl High School to accommodate 1,200 pupils in mainstream education and approximately 45 pupils from Ysgol Tir Morfa, the community special school in Rhyl. The works have also included some extensive refurbishment to the exterior of the Leisure Centre.</p> <p>There are now just a few remaining snags and defects to be completed through the contract, and it is hoped that the majority of these can be closed out during the October half term.</p> <p>A meeting has been arranged with the Contractor week commencing 13<sup>th</sup> November to discuss the outstanding issues. The full retention fee has been withheld by the Council, subject to the satisfactory completion of the project defects.</p>	
Forecast In Year Expenditure 17/18	£0.437m

## 21<sup>st</sup> Century Schools Programme – Ysgol Glan Clwyd

Total Budget	£16.710m
Expenditure to date	£15.952m
Estimated remaining spend in 17/18	£ 0.484m
Future Years estimated spend	£ 0.274m
Funding	DCC £5.249m; WG £11.461m
<p><b>Narrative:</b></p> <p>This scheme is within the Band A proposals for 21st Century Schools Programme. The project will deliver an extended and refurbished Ysgol Glan Clwyd to accommodate a long term capacity of up to 1,250 pupils via a new three storey extension, partial demolition of existing buildings and refurbishment of the retained buildings.</p> <p>The project will also see extensive landscaping, with creation of new outdoor hard &amp; soft landscaped areas including a new sports field, extended and rationalised car park and coach parking area.</p> <p>Phase 1, a new three storey extension was completed and handed over for occupation by the school from January 2017. Following handover of the old buildings that make up Phase 2 to the contractor in January 2017, asbestos has been removed, the buildings have been soft stripped and work to remodel and refurbish them has been underway since February 2017. Phase 2 is being handed back in sections to the school. The first two sections of the old buildings following remodelling and refurbishment, comprising Phases 2a and 2b were handed over on 9<sup>th</sup> May 2017 and 28<sup>th</sup> June 2017 respectively. Part of Phase 2b included the new Visitors Car Park and new Main Reception. The school were decanted into these areas on 29<sup>th</sup> June 2017.</p> <p>Over the summer break the three mobile classrooms on site were removed/demolished and demolition of the old three storey block was completed. The final main section of remodelling and refurbishment of the old buildings, Phase 2c, was completed on 4<sup>th</sup> September 2017 and handed back to the school ready for the start of the new academic year.</p> <p>Remaining internal works to create the new Leisure Centre facility and the final three rooms for the school were completed and handed over on 13<sup>th</sup> October 2017; at the same time the new Car Park and Coach Area and remaining external landscaping were also completed and handed over.</p> <p>Since 13<sup>th</sup> October 2017 the final activities have seen the old Tennis Courts resurfaced and fenced to create a Multi-Use Games Area and clearance of the Contractors Site Offices and compound with a final contract completion date of Friday 10<sup>th</sup> November 2017.</p> <p>There is ongoing consultation with all key stakeholders including all users of the site. In addition, regular updates via newsletters are distributed locally.</p> <p>The project team are working with the school and wider community to engage with them to develop and deliver a number of community benefits.</p>	
Forecast In Year Expenditure 17/18	£3.221m

## 21<sup>st</sup> Century Schools Programme – Ruthin Primary Schools

Total Budget	£12.186m
Expenditure to date	£ 8.927m
Estimated remaining spend in 17/18	£ 3.024m
Future Years estimated spend	£ 0.235m
Funding	DCC £6.900m WG £5.285m
<p><b>Narrative:</b></p> <p>Denbighshire received permission to extend the scope of the 21<sup>st</sup> Century Schools Programme to include the three Ruthin primary school projects in September 2015. In January 2016, the Strategic Outline Case for the three projects was approved by the Welsh Government. On-going work has enabled firm project costs, including contingency funding, to be established for the new Rhos Street / Penbarras schools.</p> <p><b>Rhos Street School and Ysgol Penbarras</b></p> <p>This project will deliver a new shared school building site for Rhos Street School and Ysgol Penbarras at Glasdir, Ruthin.</p> <p>The mechanical and electrical 2<sup>nd</sup> fix and external render on the Rhos Street section of the building is ongoing but the plaster boarding and stonework is complete. The plaster boarding and mechanical and electrical 1<sup>st</sup> fix is ongoing on the Pen Barras section but the stonework is complete. The car park has been base coursed and drainage works are ongoing.</p> <p>Up and coming works include extending the 2<sup>nd</sup> fix on the Pen Barras section and starting the internal finishes including flooring and decoration on the Rhos Street section.</p> <p><b>Ysgol Carreg Emlyn</b></p> <p>Ongoing negotiations with the land owners on an agreement for the land purchase have resulted in extended timescales for the project.</p> <p>The tender for the design and build has been awarded to Wynne Construction and will be issued as a two part contract – initially the detailed design and then the construction period.</p> <p>Due to the extended timescales, start on site will now realistically commence in the Spring of 2018.</p> <p><b>Llanfair New School</b></p> <p>The Strategic Investment Group have approved the Full Business Case, and their recommendation will be included within the Finance Report on this agenda. The final draft of the Full Business Case will be submitted to the Welsh Government for approval at their December panel meeting.</p> <p>The Planning Application was validated in early October and is currently at the consultation phase, with issues arising currently being addressed.</p> <p>The tender has now been evaluated and a preferred contractor has been identified. It is anticipated that the contract will be issued as a two part contract – initially the detailed design, which may be in parallel with the planning application and then the construction period.</p> <p>Subject to planning approval and land purchase, work is expected to commence on site in the Spring of 2018.</p>	
Forecast In Year Expenditure 17/18	£8.935m

## 21<sup>st</sup> Century Schools Programme – Rhyl 3-16 Faith School

Total Budget	£1.500m (Feasibility and Design)
Expenditure to date	£0.096m
Estimated remaining spend in 17/18	£0.735m
Future Years estimated spend	£0.669m
Funding	DCC £1.5.m
<b>Narrative:</b>	
<p>Cabinet gave approval in January 2017 for a £1.5m allocation to allow the design stages for a new 3 -16 Catholic School to commence following the recommendation of the initial Business Case by the Strategic Investment Group.</p> <p>The design for the new 3-16 school is now available and comments invited during the pre-planning consultation stage which started on the 23<sup>rd</sup> October 2017 and will finish on 25<sup>th</sup> November 2017.</p> <p>Two public drop-in sessions have taken place at Blessed Edward Jones (on 7<sup>th</sup> November 2017) and Ysgol Mair (on 9<sup>th</sup> November 2017)</p> <p>The combined Strategic Outline Case/Outline Business Case has been submitted to the Welsh Government and is due to be considered during November. The Full Business Case is currently being drafted and will be submitted to the Strategic Investment Group for consideration.</p>	
Forecast In Year Expenditure 17/18	£0.735m



<b>Rhyl Waterfront and Waterpark</b>	
Total Budget	£20.357m
Expenditure to date	£5.795m
Estimated remaining spend in 17/18	£4.361m
Future Years estimated spend	£10.201m
Funding	WG £4.236m; DCC£13.936m; Rhyl Town Council £2.000m
<p><b>Narrative:</b>  Work on the Pavilion Theatre is nearing completion with the creation of a new bar and restaurant which will open on 1<sup>st</sup> December 2017. The recruitment process is now complete and the remaining staff will take up their posts on 13<sup>th</sup> and 20<sup>th</sup> November.</p> <p>The external recladding is now complete and other minor refurbishments will be completed prior to the opening.</p> <p>The East Car Park has now been handed over and the pay &amp; display machines have been installed. The signage is due to be erected by the middle of November.</p> <p>The Sky Tower improvements are complete and the graphics have now been installed on the new hoarding.</p> <p>Work on the new Waterpark development has now started on site with completion scheduled for early 2019.</p> <p>There is a current funding gap of £185k on the Waterfront and Waterpark development. This is manageable in 2017-18, and there are avenues to explore in 2018-19 to bring the development cost back in line with the budget.</p> <p>The development of the Travelodge and Marstons bar and restaurant on the site of the East Parade car park is hoped to commence late November 2017, with opening anticipated for November 2018.</p>	
Forecast In Year Expenditure 17/18	£6.610m

Mae tudalen hwn yn fwriadol wag

## Draft Full Business Case

### Replacement Building and Facilities for Ysgol Llanfair Dyffryn Clwyd



#### Version Control

Author: Joseph Griffiths, Project Manager

Date: 16/10/2017

Version	Date Issued	Brief Summary of Change	Owner's Name
V.1	12.10.17	First Draft	Joseph Griffiths
V.2	17/10/2017	Draft to SIG	Joseph Griffiths

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## 1. Executive Summary

- 1.1. This Full Business Case presents a proposal to build a new school building, on a new site, in the village of Llanfair Dyffryn Clwyd to replace the existing Ysgol Llanfair Dyffryn Clwyd (Ysgol Llanfair DC).
- 1.2. The initial proposal as detailed within the Ruthin Primary Area Strategic Outline Case (presented in November 2015) was for the amalgamation of Ysgol Llanfair DC and Ysgol Pentrecelyn followed by the building of a new 3-11 area school to serve Llanfair and Pentrecelyn on a new site for 140 learners. Following completion of the school organisation process and publishing of the statutory notice, a Cabinet meeting in October 2015 approved a recommendation to close Ysgol Llanfair DC and Ysgol Pentrecelyn and open a new dual-stream Category 2 Church in Wales primary school. However, in June 2016 that decision was challenged via Judicial Review and quashed on procedural grounds in August 2016. The Court had not criticised the merits of the proposal and suggested that a similar decision would be possible following a further consultation exercise. However, there was a need to consider afresh any future proposal based on the wider impact and current circumstances with due regard to community cohesion and learners.
- 1.3. After discussions with both school communities it was clear there was no appetite to revisit the same proposal and pursuing that option would risk further community divisions and legal challenges. It was also clear that the status quo for Ysgol Llanfair could not continue and there was a need for a sustainable Category 2 school in the area. In light of those considerations it was recommended not to consult afresh on the original proposal. Instead, in November 2016, Cabinet agreed to take no further action over the future of Ysgol Pentrecelyn, which would remain a Category 1 school, and to consider proposals to re-build Ysgol Llanfair on a new site (for which the case is presented within the Full Business Case).
- 1.4. Ysgol Llanfair DC is a Category 2 (bilingual) Voluntary Controlled school providing education for pupils aged 3-11 years old aligned with the Church in Wales in the Diocese of St Asaph.
- 1.5. The condition of the school was assessed as 'C' (poor-exhibits major defects) in 2012. The suitability of the school was assessed in 2014 as 'B/C' with deficiencies identified in terms of car parking, staff areas, public areas and accessibility.
- 1.6. The school currently have two mobile classrooms which provide accommodation for years 5 and 6, with year 4 accommodated in a first floor area which is accessed via a narrow staircase. There are currently no separate hall or dining facilities, with classrooms in the main building doubling up as dining area and assembly areas. At present the school use the community hall

for events and the church for services; unfortunately these are both located on the opposite side of the A525 resulting in pupils and staff having to cross a busy road to access these facilities.

- 1.7. A major concern for the current site is the arrangement for parking and the lack of suitable drop off/ pick up and pedestrian facilities. Currently, there is an agreement with the village hall and the local authority that for a small charge per day staff are able to park at the church hall- this cost is met by the local authority. There is also limited parking for deliveries.
- 1.8. The toilet provision is also a major concern at the school as it is below the statutory minimum for current pupil numbers. Furthermore, a significant maintenance backlog exists of £70,343.16 (assessed in 2012). This cost is estimated to increase to £87,776 by 2019 (based on an uplift calculation for 1Q 2019).
- 1.9. The capacity of the current school (including mobile accommodation) is for 113 full time learners. As of January 2017, 92 pupils were recorded on roll at Ysgol Llanfair, however an application for a proposed new development of 63 dwellings within the village (which was approved by Denbighshire planning officers in June 2017) has been estimated to generate an additional 15 pupils for the school. If this increase in pupil numbers became a reality this could increase projected pupil numbers to 114 by 2020 which would result in the school being at full capacity with a deficit of 1 place (based on their current maximum capacity of 113FTE). The prospect of having no surplus places by 2020 could bring into question the sustainability of a school capacity of 113 FTE as the school would be unable to accommodate any additional pupils which are likely to be attracted by the new school.
- 1.10. As detailed within the Strategic Case the scheme would therefore deliver the following benefits:
  - Provision of sufficient school places - *A new school with a capacity of 126 FTE places would provide enough space to accommodate all current pupils (thereby negating the need for the two mobile classrooms currently in place) along with enough surplus places to accommodate future projected pupil numbers.*
  - Removal of temporary accommodation – *resulting in an annual revenue saving of £18,000 (note since the drafting of the OBC/SOC one of the mobiles has been purchased by Denbighshire reducing the revenue saving to £15,000)*
  - Improved access to school facilities - *The new building would also include school hall/dining/gym facilities which would therefore remove the need to cross a busy road to utilise the community hall for events. The new site would provide greater opportunities to deliver an innovative 21st century curriculum along with sufficient parking spaces and a drop off/pick up area, which would improve safety and reduce*

*revenue costs due to no longer having to fund access to parking at the village hall.*

- *Improved condition and suitability of the learning environment - The condition and suitability of the building would be increased from a Category C and Category B/C respectively to a Category A. The investment would also provide the school with buildings and facilities in line with the Welsh Government's 21st Century Schools standard which should therefore ensure high quality educational provision.*

- 1.11. The Economic Case provides detailed information on cost, benefit and risk appraisals undertaken to confirm a preferred option as a way forward to address the critical success factors for the project and make these a reality. The Economic Case concludes that the preferred solution is a new build in Llanfair on a new site with a capacity of 126 full time pupils and 18 part time pupils.
- 1.12. The procurement for the project has been progressed through a traditional route. Given that the estimated construction value of the project is £3.7m it has fallen below the Lot 1 threshold for the North Wales Construction Framework and therefore was procured via the Sell 2 Wales portal.
- 1.13. This project forms part of Denbighshire County Council's Strategic Outline Programme for 21<sup>st</sup> Century Schools funding. The cost of the preferred option is to be £5.4 million of which we are seeking approval for a contribution of £1,379,847 from Welsh Government (as per the overall programme matrix for DCC submitted in March 2017).
- 1.14. When the project was initially proposed in 2015 the Strategic Outline Case estimated the total project costs to be around £3.5 million. However, based on the initial feasibility works which highlighted the requirements for additional external works and the need to meet the additional land purchase costs a provisional allowance within the Council's Corporate Plan of £5.234 million for the project was made. Following this allowance a report was presented to Denbighshire's Strategic Investment Group in January 2017 which highlighted a need to increase this allocation to around £5.4 million in order to take account of higher utility provision costs including electricity substations and sewerage treatment along with tender price inflation. However no additional funding has been requested from the Welsh Government as part of the revision of costs. For more information please see the Financial Case.
- 1.15. In terms of the management of the project, the Council is in the process of amending its Programme Management arrangements. A number of Board's are being disbanded including the Council's Modernising Education Programme Board, and their remit will be replaced by 2 Boards. The progress of the Modernising Education agenda will fall within the remit of the Young People and Housing Programme and this will provide the strategic leadership

for the overall programme moving forward. The project has an identified Project Sponsor and Project Manager to ensure clear leadership and direction throughout the lifecycle of the project. Details of the project management structure are contained within the Management Case.

## 2. Strategic Case

### Strategic Fit

- 2.1. The SOC/OBC was considered and approved in September 2017. Due to the close proximity very little has changed in terms of the strategic fit. The main changes relate to the potential size of the school and the reviewed delivery mechanism for the corporate priorities.

### Business Strategies

- 2.2. The project was identified by the Council during the delivery of its Corporate Plan for the period 2012-2017. This identified 7 priority areas for Council activity and action and contextualises national and regional policy developments for Denbighshire. Specifically within this plan there is a priority work stream for:-

- Improving performance in education and the quality of our school buildings

This priority includes the following intended outcomes:-

- We will invest significantly to improve school buildings and facilities and provide improved learning environments for pupils
- We will continue to review school provision across the county to ensure that we provide the right number of school places, of the right type, in the right location.

- 2.3. Following the approval of the Council's New Corporate Plan for the period 2017-2022, the Councils' Modernising Education strategy will be governed via the Younger People and Housing Programme Board. This board has responsibility to oversee strategic delivery of the Corporate Plan and the key projects therein.

### Planning

- 2.4. A planning application (20/2017/0819) has been submitted and is currently in the consultation period. The purchase of the land has been agreed with the transaction to be completed should planning approval be received.



## **Welsh in Education Strategic Plan –**

- 2.5. The Council recognises the importance of bilingualism in 21st Century Wales and has adopted a long term aspiration that all children and young people in the County will leave full-time education being competent and confident in using both Welsh and English languages. The Council recognises that this is a long term aspirational aim that will not be achieved for a number of years.
- 2.6. The objectives of the new Welsh in Education Strategic Plan 2017-20 includes ensuring and developing sufficient Welsh Medium school places and increasing the proportion of learners studying Welsh as a 1st language. The aim is to ensure that through the 21st Century Schools programme there is sufficient capacity to ensure Welsh medium education is accessible throughout the County.
- 2.7. The current Ysgol Llanfair DC is a Category 2 (bilingual) school and has developed an excellent track record in terms of the number of pupils entering the school as part of the English medium stream and later choosing to transfer to the Welsh medium stream.
- 2.8. As the preferred option for this project is to maintain the Category 2 status of Ysgol Llanfair DC and to develop a new school building on a new site within the village, with an increased capacity of 126 full time pupils and 18 part time pupils, then this would be fully aligned with the aims of the Welsh in Education Strategic Plan.
- 2.9. Discussions during the submission of the OBC / SOC highlighted queries over pre-school provision. The exact use of the community room will be carefully considered by the school and governors to ensure that the risk of duplication of services is minimised within the village and that the facility is seen as a useful resource by the community. The arrangements for use, associated charges and administration of the community facilities will be the responsibility of the school and governors to finalise. The community room is currently designed as a multi-functional space and as such has not been specifically designed to meet the CSSIW requirements, however we would be open to discussions around integrating this into the future design or modifications at a later stage.
- 2.10. Higher level discussions were undertaken with Mudiad Meithrin in August 2016 around the overview of provision as part of the programme. Links were also provided to ensure the continued engagement of Mudiad Meithrin with the progress of the various projects.

## **Current demand for places**

- 2.11. Including both the current school building (62 places) and mobile accommodation (51 places) Ysgol Llanfair DC has a maximum capacity of 113 pupils.
- 2.12. The table below shows the pupil number over the past 6 years and the current demand for places at Ysgol Llanfair DC:

Full Time pupil numbers – January PLASC						
2011	2012	2013	2014	2015	2016	2017
96	93	90	84	94	89	92

## **Pupil projections**

- 2.13. The table below shows the projected pupil numbers for Ysgol Llanfair DC for the next 5 years:

Full Time pupil numbers – Projections				
2018	2019	2020	2021	2022
96	95	99	99	99

- 2.14. Planning has been granted by Denbighshire's planning committee for the development of 63 dwellings within the village (20/2016/1137). If the development is undertaken this will increase pupil numbers by 15 based on new development calculations for the likely demand. This could increase projected pupil numbers to 114 by 2020 which would result in the school being at full capacity with a deficit of 1 place based on their current maximum capacity of 113FTE.
- 2.15. As such a new school was proposed with a capacity of 126FTE pupils would provide sufficient capacity for current and projected pupil numbers thereby meeting demand for school places. Following queries at the SOC/OBC stage the option of a smaller school has been discussed with the Head teacher, Chair of governors and diocese of St Asaph. Further guidance is awaited from Welsh Government before the FBC is finally submitted to Welsh Government.

## **Issues from SOC / OBC Stage**

- 2.16. The discussions between DCC and WG clarified the following strategic issues during the approval process:-

### **Childcare arrangements**

- It is the intention that childcare arrangements will continue as they are at the current school in that Breakfast club is available daily from 8am and an after school club is offered for KS2 pupils every Tuesday from 3:15pm-4:15pm. The nursery places proposed are part time.

- The Childcare sufficiency audit published and conducted by Denbighshire's childcare team in March this year confirmed that no deficiencies have been identified in this area in terms of the provision of childcare.
- Furthermore, the Cylch meithrin which already exists in the nearby village of Pwllglas have expressed a desire to remain in their current location. We would therefore be mindful around putting in place any additional childcare provision within the new school as this would risk causing competition for and duplication of existing provision and could weaken provision in the long term.

### **Sports Provision**

- A grass pitch which will be sized to Welsh FA U12 size with gradients to be in accordance with Sport England guidelines. The proposed design for the new school includes an access point to the football pitch from the car park thus facilitating community use. A general games court is also provided adjacent to the hard play areas incorporating ball stop fencing. The surface is proposed as Macadam paving suitable for pedestrian/playground use. The exact arrangements for community use, associated charges and administration of the school facilities will be the responsibility of the school and governors to finalise.

### **Investment Need**

- 2.17. The investment needs have been reviewed and the investment needs remain based on the key issues within the current school the following priorities for investment remain:

#### *Minimum Scope*

- *Addressing maintenance backlog*

#### *Intermediate Scope*

- *Addressing maintenance backlog*
- *Removal of mobile accommodation*
- *Increased capacity to accommodate pupils previously accommodated in mobile classrooms*

#### *Maximum Scope*

- *Addressing maintenance backlog*
- *Improved parking/pick up/drop off area*
- *Removal of mobile accommodation*
- *Increased capacity to accommodate increased pupil numbers due to housing development*
- *Safer access to dining/hall facilities*
- *All facilities made fully accessible*

## Project Impacts

- 2.18. This project is anticipated to primarily benefit Ysgol Llanfair DC pupils via the provision of sufficient school places and improved educational environment and access to facilities. Conversely, the project is anticipated to have a minimal impact on nearby schools.

## 3. Economic Case

- 3.1. In accordance with the 21st century schools and education funding programme business case guidance document and the requirements of HM Treasury's Green Book, this section of the business case documents the range of options that have been considered in response to the potential scope identified within the SOC. This FBC seeks approval for the identified 'preferred' option to deliver a replacement Building and Facilities for Ysgol Llanfair Dyffryn Clwyd.
- 3.2. The SOC/OBC was considered and approved in September 2017. Due to the close proximity very little has changed in terms of the Economic Case.
- 3.3. The critical success factors as outlined in the SOC/OBC remain valid and are displayed in the table below;

Reference	Critical Success Factors
CSF1	Improvement in educational attainment and achievement
CSF2	Removal of mobile classrooms and detached teaching areas and resource areas
CSF3	Reduce the ever growing maintenance backlog
CSF4	Meet demand for school places
CSF5	Increase the efficiency and effectiveness of the school estate
CSF6	Improve the learning environment to meet 21 <sup>st</sup> century school standards and allow for the delivery of a fluid and innovative 21 <sup>st</sup> century curriculum

- 3.4. These key drivers and critical success factors have been used to assess the options for progression for the Ysgol Llanfair DC project.

### Revisited Options – January 2017

- 3.5. The identified options submitted at SOC/OBC are summarised below:

#### **Option 1 - Retain the Status Quo (Do Nothing)**

- The school would be retained as it is with no changes or improvements to existing facilities.

#### **Option 2 - Clear Maintenance Backlog - Remedial Repair (Do minimum)**

- Complete any remedial repairs to the current school buildings. This option would address the maintenance backlog at the site.

### **Option 3 - Refurbishment of the Existing Building**

- This would mean retaining the current school site. The existing school site would be refurbished and a small extension would be built to accommodate a standalone hall and to provide additional classrooms to allow for the removal of the mobile classrooms.

### **Option 4 – New build in Llanfair on a new site with a capacity of 126 full time pupils and 18 part time pupils**

- A new school would be built in the village of Llanfair with a capacity of 126 full time pupils and 18 part time pupils, on a new site with suitable facilities. The existing site would then be declared surplus. This option would address the condition of the existing buildings, reduce the maintenance backlog, improve facilities and would provide a 21st century learning environment.

### **Option 5 - New 180 FTE build in Llanfair on a new site (Do Maximum)**

- A new school would be built in the village of Llanfair with a capacity of 180 full time pupils, on a new site with suitable facilities. The existing sites would then be declared surplus. This option would address the condition of the existing buildings, reduce the maintenance backlog, improve facilities and would provide a 21st century learning environment.

### **Preferred Option**

- 3.6. The analysis of the advantages and disadvantages of each of the identified options along with review of the project investment objectives and critical success factors within the SOC/OBC identified option 4 the new build in Llanfair on a new site with a capacity of 126 full time pupils and 18 part time pupils as the preferred option.

## 4. Commercial Case

### Procurement

- 4.1. Procurement for the preferred option is a Design and Build route. However, given the somewhat complex nature of the project (particularly in relation to land acquisition, drainage and highways considerations etc.), initial design works up to RIBA Stage 3 have been undertaken 'in-house' with an outline design summarising the general working principles that should be carried through the detailed design stage.
- 4.2. Given the anticipated project construction value of £3.7m, the scheme fell outside Lot 1 for the North Wales Construction Framework and as such was issued via the Sell 2 Wales portal. The tender exercise was based on a 70% Quality / 30% price ratio. Currently the project is at tender evaluation stage and a preferred contractor has been identified. Once the preferred contractor is appointed the outline design used to develop the detailed design (see proposed design in Figure 4).

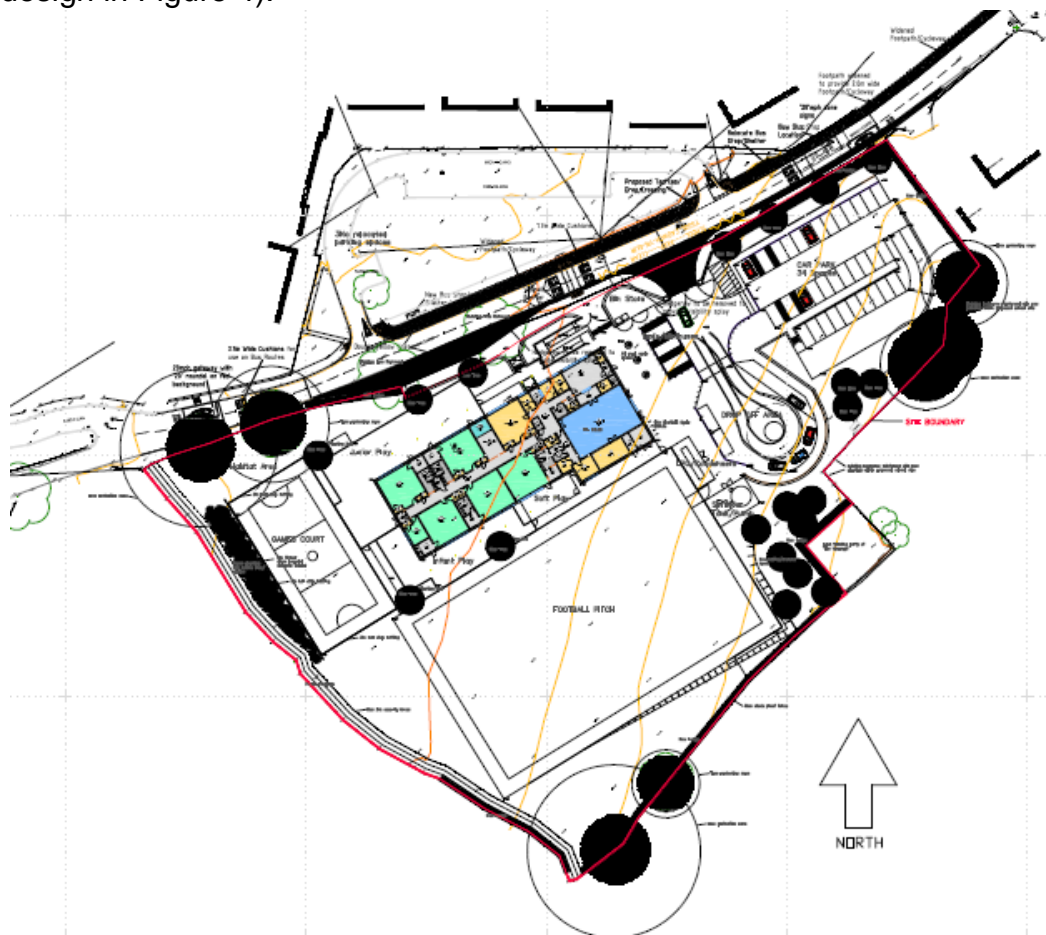


Figure 4 – Proposed design for the new 126 FTE pupil capacity school for Ysgol Llanfair DC.

## **Evaluation**

- 4.3. An explicit and clear evaluation criteria document was issued to the Contractors to accompany the tender to ensure the evaluation process was clear and transparent. The tender submissions were returned on the 27th of September 2017. The tender is currently at tender evaluation stage and were scored by an evaluation panel according to the guidance in the evaluation criteria issued.
- 4.4. The required products and services in relation to the preferred way forward have been defined briefly as follows;
- Appointment of a lead contractor with;
  - A successful recent track record of designing and constructing innovative primary schools;
  - Experience of using 'partnering ethos' arrangements;
  - Ability to provide and lead a team of appropriate technical specialists (education consultants, ICT, energy et al);
  - Understanding of emerging education and economic trends both in a local and national context;
  - A sound reputation for maximising use of local suppliers within the construction supply chain;
  - A history of delivering significant community benefits through their construction ventures;
  - Technical ability to lead a design and build project

## **Proposed Contractual Arrangements**

- 4.5. As set out above, the project will use the JCT Design and Build Contract 2016 and will be procured on a fixed price lump sum basis in accordance with the Councils Financial Regulations. The final decision to enter into a formal contract will be taken by Denbighshire's Cabinet in accordance with the Councils Financial Regulations. The decision will be made following an assessment of the submitted works information by the Contractor.

## **Financial Implications of Proposed Deal**

- 4.6. Under the proposed contractual arrangements and procurement approach the Contractor will be appointed on a lump sum basis to deliver the design and construction for a fixed price contract sum.

## **Risk Transfer Arrangements**

- 4.7. The general principle to be adopted is that risks should be passed to 'the party best able to manage them', subject to value for money considerations. The final allocation of risk within the project will be determined as part of the negotiation with the successful contractor as the project develops. This will involve discussions via dedicated Risk Workshops between Denbighshire and the appointed contractor whereby project risks are allocated to the party best able to manage them and allocation of risk sums to deal with any residual risks

remaining. The risk register will remain a 'live' document as the project develops, risks will be under constant review, with the ownership, likelihood, impact and potential cost associated reviewed; it is anticipated that the level of risk will reduce as the design process progresses.

### **Community Benefits**

- 4.8. The delivery of community benefits is a key component in the framework approach for the region. Although the project is below the framework threshold the same principles will be applied in seeking targets to be applied in respect of community benefits. The priorities, as set out below, have been approved by the 6 North Wales authorities Cabinets (December 2012 through February 2013). The community benefits approach is core (we will evaluate the targeted recruitment and training element) with a target of 78 week apprenticeships/training/work experience/ or employment of disadvantaged person per £1m contract spend) this will vary between projects but it is the overall target for the framework.
- 4.9. The project will include an assessment of community benefits as part of the tender award process. There is expected to be Core and Non-Core elements with all Contractors being expected to use the Value Wales measurement tool to assess the impact of the project.
- 4.10. As part of the tender process the commitment of the contractor to community benefits will be rigorously examined. In particular the contractors will be presented with a summary of the project specific community benefit targets and will be required to outline how they would maximise potential community benefits as part of this project in order to achieve these targets. This will be finalised once the preferred contractor is appointed.
- 4.11. The procurement route and contractual arrangements for the project will set out the community benefits strategy. The progression of the agreed community benefits will be measured during the lifecycle of the project in accordance with the Welsh Government toolkit and reported on quarterly to the Welsh Government.



## 5. Financial Case

- 5.1. The total estimated cost for the preferred option is £5.4 million which would be jointly funded through the Welsh Government's 21<sup>st</sup> century school programme (contributing £1,379,847) combined with a contribution from Denbighshire County Council. Denbighshire's contribution of £3,989,704 was approved by the Strategic Investment Group in January 2017.
- 5.2. When the costs were reviewed at this stage it was clear that the initial indicative budget of £3,471,052 within the revised SOP would not be sufficient to deliver this project and as such an indicative revised budget of £5,239,994 was put forward, subject to further detailed scrutiny. In January 2016 a paper was presented to the Strategic Investment Group which attributed the increase in cost for the Llanfair/Pentrecelyn project to two main factors. There was a significant increase in the indicative purchase costs for the land – this was attributable to the fact that the likely preferred sites for planning purposes were designated as residential within the Local Development Plan and therefore a higher cost would be attributable to the sites most likely for the school to be based upon. In addition the initial estimate did not include external works (as the preferred site had not been identified at this stage and as such the extent of external works required was not easily predicted) and adequate construction contingencies had not been included to cover these costs. At the initial stage in December 2014 a wide range of sites had been identified for potential inclusion and therefore due to the uncertainty of site specific costs this was not included at this stage. The identification of a smaller number of sites enabled an assessment of potential costs to be identified for the external works. These two factors represented an increase in approx. £1.7m, taking into account resultant pro-rata increases in design fees and construction contingency allowance.
- 5.3. The preferred site for the new area school had been identified as a piece of land known locally as the Glebe site which was in the ownership of the Representative Body of the Church in Wales. Following a period of negotiations during 2016 an agreed purchase price was arrived at which reflected the residential status of the land (as it is allocated for housing within the LDP and as such has an associated high residential value). As mentioned previously, as the Glebe land site is held on provincial trust there is a requirement to achieve best value, hence the requirement for the Representative Body of the Church in Wales to sell the site at residential market value regardless of the intended future purpose of that site (i.e. to build a new Church in Wales school).
- 5.4. Following the last estimate in January 2016 of £5,239,994 a review of the estimated costs was undertaken again in July 2017. This was based on the preferred site as identified above. Detailed surveys undertaken on the site identified a number of abnormal costs contained within the Substructure, Externals and Design Costs as follows:
  - Sloping site requiring cut/fill and retaining structures
  - Highway improvement works including bus drop-off point
  - Requirement for foul pumping station

- Contribution to upgrading public sewer
- Electric sub-station

Furthermore, due to the proposed school being located adjacent to a main highway there was also a requirement to provide sufficient parking and pick up and drop off facilities to minimise any spill over onto the highway during the busy drop off and pick up periods. In addition the costs have been uplifted to take account of tender price inflation. The net impact of this lead to an overall cost increase in the region of £129,557 and therefore a revised estimate of £5,369,551 against the figure included in the Corporate Plan last February of £5,239,944.

#### Summary of cost changes

	<b>Initial Estimate 2014</b>	<b>Update January 2016</b>	<b>Update July 2017</b>
<b>Construction</b>	£2,172,720	£2,093,026	£2,447,415
<b>Construction Contingency</b>	£271,590	£351,028	£400,408
<b>External Works</b>		£715,000	£755,846
<b>Design Fees</b>	£293,319	£347,517	£450,459
<b>Client Contingency</b>	£15,108	£15,108	£15,108
<b>Project Management Costs</b>	£75,000	£75,000	£107,000
<b>ICT Allowance</b>	£173,817	£173,817	£173,817
<b>Furniture Costs</b>	£119,498	£119,498	£119,498
<b>Land Purchase Costs</b>	£350,000	£1,350,000	£900,000
<b>Others</b>			
<b>Total</b>	£3,471,052	£5,239,994	£5,369,551

- 5.5. The revised figure of circa £5.2m has been used in all returns to the Welsh Government since early 2016 to update on the progress of the Programme. The funding for the increase in costs for projects for Denbighshire has been met from resources identified by Denbighshire through the area

review process and no additional resources have been requested from the Welsh Government.

- 5.6. The indicative financial implication of the proposed 'preferred option' at the tender issue stage was as follows:

	2014/15	2015/16	2016/17	2017/18	2018/19	2019/20	Total
Capital	£19,663	£47,433	£53,473	£1,496,338	£3,666,066	£86,578	£5,369,551
Revenue	<i>Revenue implications of preferred option will be resolved via the standard School Budget Forum &amp; Process</i>						
<b>Total</b>	<b>£19,663</b>	<b>£47,433</b>	<b>£53,473</b>	<b>£1,496,338</b>	<b>£3,666,066</b>	<b>£86,578</b>	<b>£5,369,551</b>

- 5.7. The project cost template for the preferred option as referenced at SOC/OBC is still valid. This will be updated following completion of appointment of the main contractor. All tender proposals are currently within budget.

### Standardised Costs

- 5.8. The table below shows how indicative costs were allocated to a number of key areas within the SOC/OBC. The table also shows the comparison of these indicative costs to those calculated based on the Welsh Government's standardised cost model for a school with a proposed size of 1070m<sup>2</sup>.

Cost allocation	Indicative project costs for 'Preferred Option'	Calculated Standardised Cost for <u>2016</u> <i>(based on proposed school size of 1070m<sup>2</sup> for 126 FTE &amp; 18 part time pupils (135 FTE))</i>	Calculated Standardised Cost for <u>2019</u> <i>(based on proposed school size of 1070m<sup>2</sup> for 126 FTE pupils &amp; 18 part time pupils (135 FTE))</i>
Construction	£3,603,669	£2,675,000	£2,969,250
Design Costs	£450,459	<i>(1070 x £2,500)</i>	<i>(1070 x £2,775)</i>
IT & Furniture	£293,315	£216,000 <i>(135 x £1,600)</i>	£239,760 <i>(135 x £1,776)</i>
Land purchase	£900,000		
Project management	£107,000		
Contingency	£15,108		
<b>Total</b>	<b>£5,369,551</b>		

### BREEAM Rating

- 5.9. Costs have been calculated based on the aim of achieving a BREEAM 'Excellent' rating. Based on an initial assessment by the BREEAM assessor the

achievement of BREEAM 'Excellent' may be feasible. In addition Tender proposals have also been positive on achieving a BREEAM 'Excellent'. However a review may need to be undertaken in terms of the costs associated with achieving some of the more challenging points, which are primarily due to the location of the site, once the contractor is appointed.

## **Land Ownership**

- 5.10. Discussions are underway with the Church in Wales to agree arrangements for ownership of the land and building following project completion.
- 5.11. The Glebe land, which is proposed site for the new school, is in the ownership of the Representative body of the Church in Wales. This land is held on provincial trust and as a charity they are required to achieve best value, hence the requirement to sell the site at residential market value (as it is allocated as residential land within the Denbighshire's LDP).
- 5.12. The existing school site extends to a total of approximately 1.45 acres to include the school building, the school yard and the school playing field which is land designated in the LDP as BSC11 Recreation and Open Space. The site has two temporary classrooms, one located on the school yard and another on the school playing field. Denbighshire County Councils ownership extends to approximately 1.33 acres with the remainder of the site being within the ownership of the Diocese of St Asaph.
- 5.13. The Diocese of St Asaph own the main part of the school building extending to approximately 2,427 sq ft / 225.47 sq m (ground floor building footprint only) and Denbighshire County Council own the Old School House extending to approximately 658 sq ft / 61.13 sq m (ground floor building footprint only) located to the west side of the building.
- 5.14. If the preferred option were to be agreed, and the new Church in Wales school built on the Glebe Land site, an agreement has been made in principal that the Diocese of St Asaph would transfer the ownership of their elements of the current school site to Denbighshire County Council which would enable to authority to sell the land as a single block. In return DCC would transfer ownership of the new school building to the Diocese of St Asaph but would maintain ownership of the surrounding land. This agreement is in the process of being formalised.
- 5.15. As the current school playing field is allocated within the current LDP as BSC11 Recreation and Open space, and the proposed new school site is currently allocated within the LDP as BSC1 Housing Allocation, brief discussions have been undertaken with DCC's policy team around the possibility of exchanging the allocations within the LDP (i.e. the recreation and open space allocation would be transferred from the current site to the new school site and the housing allocation would be transferred from the Glebe land site the existing school site). Planning have stated that in principle this would be possible but would be

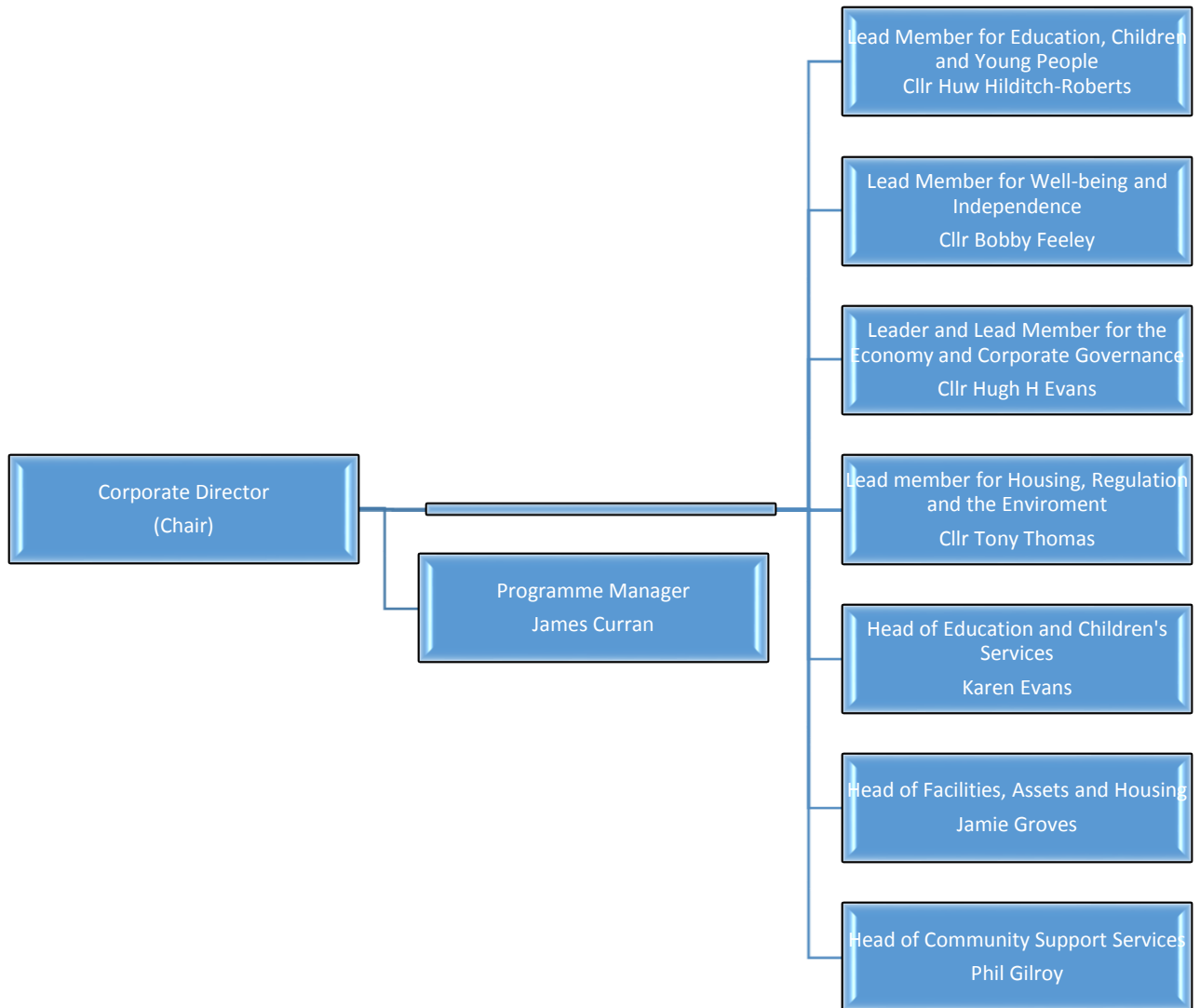
subject to consultation and further consideration. If agreed this would enable the existing school site to be sold for residential value.

## 6. Management Case

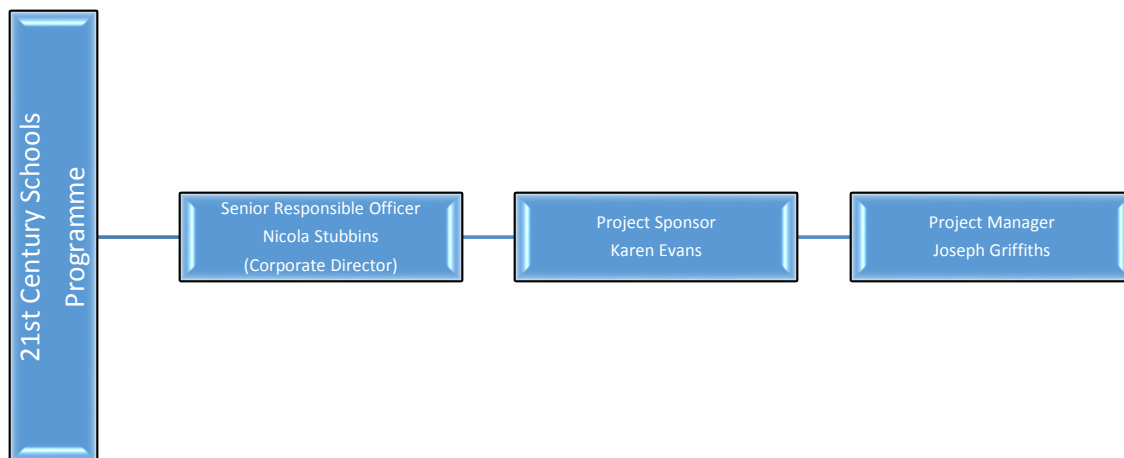
### Programme Management

- 6.1. This scheme is an integral part of the Denbighshire's 21st Century Schools Programme which comprises a portfolio of projects through which Denbighshire will deliver the necessary changes to ensure that the strategic aims of the Welsh Government's 21st Century Schools Programme are fully met. Denbighshire's Strategic Outline Programme (SOP) was agreed by the Welsh Government in December 2011. This SOP was reviewed in 2015 to take into account additional investment from Welsh Government and Denbighshire County Council which increased the value and scope of the Programme. This project is part of that additional scope.
- 6.2. The project management arrangements sit within the context of Corporate Programme Management. DCC's Programme Management Strategy ensures that all project development and delivery is undertaken in concert with the Council's strategic vision and politically supported aspirations and priorities. The key principles of DCC's approach to Programme Management includes;
- Programme ownership at corporate executive level by a nominated Senior Responsible Owner;
  - A programme board with clearly defined Terms of Reference defining its purpose, scope, remit, membership and tenure;
  - Programme board membership comprising relevant Cabinet members, executive officers and service heads;
  - A clear focus at strategic, corporate level on (and ultimate responsibility for) the realisation of identified programme outcomes and the Council's vision and priorities.

6.3. Following the approval of the Council’s New Corporate Plan for the period 2017-2022, the Councils’ Modernising Education strategy will be governed via the Younger People and Housing Programme Board. This board has responsibility to oversee strategic delivery of the Corporate Plan and the key projects therein. The proposed reporting responsibilities and membership at the Younger People and Housing Board level are shown below:

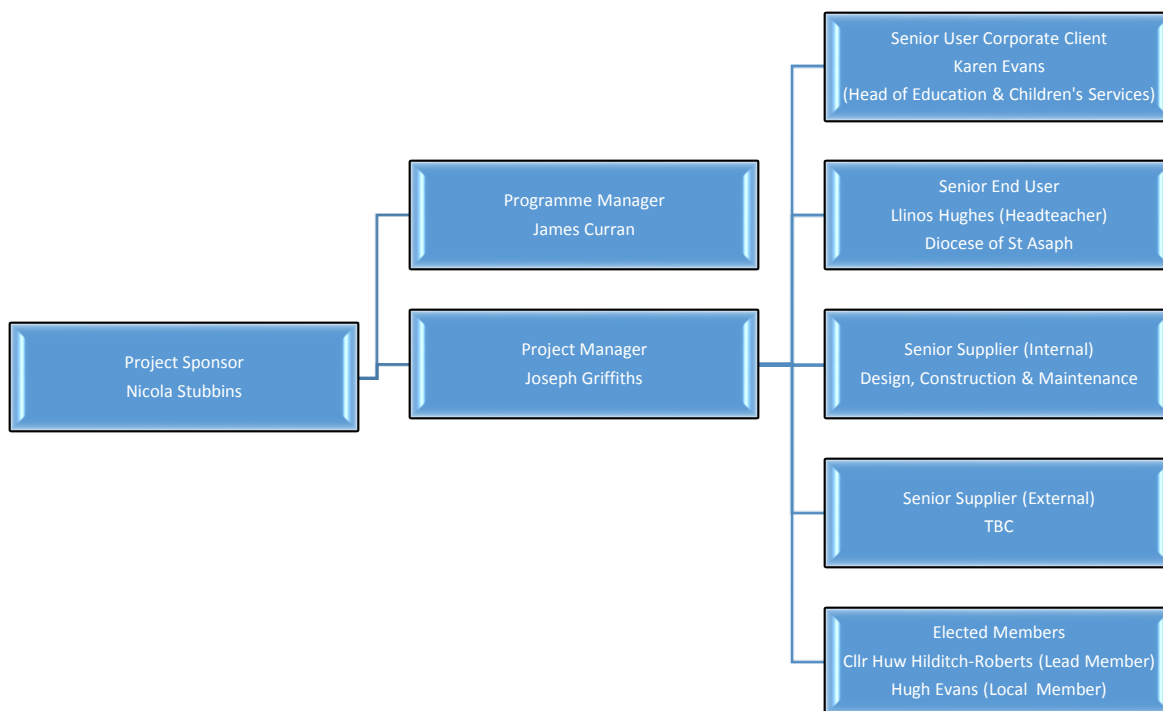


- 6.4. The operational link between Programmes and Projects is made by Executive Officers / Heads of Service / Programme Manager from the Programme Board being nominated Project Sponsors. The actual operational (service) programme reporting responsibilities for projects and strand activity are:



### Project Management Arrangements

- 6.5. Denbighshire County Council has developed and implemented a corporate project management methodology, based on Prince 2 methodology, which ensures that projects are properly led, planned, resourced, monitored and controlled. The methodology has a prescribed structure of governance, structure and reporting for projects tailored according to magnitude, scope and complexity to enable successful and efficient project delivery.
- 6.6. A Project Team has been established to oversee the development and progress of the project. The Project Team is responsible for the development, planning and delivery of the project. The membership of the team is dynamic and evolves over time with some roles increasing or diminishing in profile as the project progresses through its sequential stages. The team is led and coordinated by the Project Manager. The Project manager who drafted the SOC/OBC is on maternity leave and a different project manager has taken over responsibility for the project.
- 6.7. Similarly to the programme board, the Project Team is supported as appropriate by advisers in key areas. Where external advisers are to work on projects consideration will be given to the role of the local authority managers to supervise such work and provide line management as appropriate. The outline reporting arrangements for the project are as indicated below:



6.8. It is the intention of the Project Team to meet at key milestones during the project development and delivery where consultation on key stages of the project is required. Additional meetings will be called as and when required. The school will be represented by Mrs Llinos Hughes (Head teacher, Ysgol Llanfair DC) and Mr Geraint Jones (Chair of Governors, Llanfair DC). Representation will also be present from the Diocese of St Asaph, Denbighshire's Principle Education Support Manager and Project Manager. Further specialist roles and advice will be provided by DCC internal design, construction and maintenance department covering areas including design advice, CDMC, QS and contract administration. Local political representation will be via Councillor Hugh Evans, local member for Llanfair Dyffryn Clwyd/Gwyddelwern. The external senior supplier, as described in the Commercial Case, will be appointed following the appropriate procurement process. The appointed contractor will play a role in the Project Team arrangements as required. There is also included the option for Welsh Government representation at the Project Team as deemed appropriate.

6.9. Although the Project Team will be consulted throughout the project development process they will not be responsible for the making of final decisions in relation to the project. Any decisions required in relation to the financing of the project will be the responsibility of the Strategic Investment Group. Membership of the Strategic Investment Group includes:

- Lead cabinet member responsible for Finance (Chair)
- Leader of the Council
- Cabinet Member
- Representative from each scrutiny committee



- Corporate Director
- Head of Finance (S.151 Officer)
- Head of Facilities, Assets & Housing (County Landlord)
- Business Information Team Manager

6.10. Furthermore it will be the responsibility of the Senior User Corporate Client (Karen Evans) to make key project decisions and sign off of the project at key stages before progress is permitted to the next stage, this will include at design stage and pre-contract.

### **Risk Management**

6.11. The strategy, framework and plans for dealing with the management of risk is defined within the overall Programme documentation for the Modernising Education Programme. At a programme level the risks for the overall programme are regularly reviewed. At the project level individual risk registers are managed by the Project Manager via the VERTO system. In addition, as referred to in the Commercial Case, a risk profile will be developed with the appointed contractor that allocates specific risks, and the associated risk value, to the party best able to deal with the risk.

6.12. These risk registers and management plan detail which party is responsible for the management of each risk and the required counter measures as required. All of these documents will be reviewed and updated as the design develops and specific risks are retired or mitigated.

### **Gateway Review**

6.13. For individual projects within Denbighshire a stage review process has been established for all projects. This process allows for relevant professional views to emerge on individual projects prior to moving forward to the next formal stage. A stage review, via the Strategic Investment Group for this project was undertaken prior to submission of this document. Further gateway reviews linked to project board approval are planned for key stages in the development of the design and pre-contract stage. The Council will discuss further proposed engagement with the Welsh Government Programme and Project Management Team regarding securing external gateway reviews of the project as appropriate.

### **Contingency Plans**

6.14. In the event that this project fails, the County will have to maintain the service within the existing facility however it will not meet the aspirations of both the County and WG to provide facilities fit for purpose and suitable in which to deliver a 21st century curriculum.

### **Post Occupancy Evaluation**

6.15. Post Occupancy Evaluation provides a structured review of the process of delivering a capital project as well as a review of operational, functional and

strategic performance of the building following occupation. This is a recognised way of providing feedback on the performance of the project through a buildings lifecycle from the initial concept to occupation and beyond. It is envisaged that both pre and post occupancy evaluations will occur. The pre-occupancy evaluation will enable detail on the issues around the performance of the existing site to be captured and fed into and influence the design process. The post occupancy evaluation will be conducted with staff and pupils at years 1, 2 and 5, in line with the latest WG guidance. This evaluation will capture feedback from all users on how the new set-up is functioning and measure the actual outcomes against the objectives.

**Signed:** 

**Printed:** Karen Evans

**Position in the organisation:** Head of Education and Children's Services (Project Sponsor)

**Date:** 17/10/17

## Rhaglen Gwaith i'r Dyfodol y Cabinet

Cyfarfod	Eitem (disgrifiad / teitl)		Pwrpas yr adroddiad	Angen penderfyniad y Cabinet (oes/nac oes)	Awdur - Aelod Arweiniol a Swyddog Cyswllt
<b>12 Rhagfyr</b>	1	Strategaeth Digartrefedd CSDd 2017 – 2021	Cymeradwyo'r Strategaeth Digartrefedd	Oes	Y Cyng. Bobby Feeley / Liana Duffy
	2	Cynllun Cefnogi Pobl/Atal Digartrefedd 2018/19	Cymeradwyo'r cynllun blynyddol	Oes	Y Cyng. Bobby Feeley / Liana Duffy
	3	Polisiâu Cyflogaeth	Ystyried nifer o bolisiâu cyflogaeth ar gyfer eu mabwysiadu	Oes	Y Cyng. Mark Young / Catrin Roberts
	4	Adroddiad Cyllid	Rhoi'r wybodaeth ddiweddaraf i'r Cabinet ar sefyllfa ariannol bresennol y Cyngor	I'w gadarnhau	Y Cyng. Julian Thompson-Hill / Richard Weigh
	5	Eitemau o'r Pwyllgorau Archwilio	Ystyried unrhyw fater a godwyd gan y Pwyllgor Archwilio at sylw'r Cabinet	I'w gadarnhau	Cydlynnydd Archwilio
<b>23 Ionawr</b>	1	Cynyddu Rhenti Tai / Cynllun Busnes Cyfrif Refeniw Tai	Gofyn am gymeradwyaeth i'r cynnydd rhent arfaethedig ar gyfer tai cyngor a chymeradwyo Cyllidebau Cyfalaf a Refeniw y Cyfrif Refeniw Tai ar gyfer 2018/19	Oes	Y Cyng. Julian Thompson-Hill / Richard Weigh / Geoff Davies

## Rhaglen Gwaith i'r Dyfodol y Cabinet

Cyfarfod	Eitem (disgrifiad / teitl)		Pwrpas yr adroddiad	Angen penderfyniad y Cabinet (oes/nac oes)	Awdur - Aelod Arweiniol a Swyddog Cyswllt
	2	Gorchymyn Prynu Gorfodol Hen Ysbyty Gogledd Cymru, Dinbych	Gofyn am benderfyniad ynghylch y Gorchymyn Prynu Gorfodol	Oes	Y Cyng. Brian Jones / Gareth Roberts
	3	Adroddiad Cyllid	Rhoi'r wybodaeth ddiweddaraf i'r Cabinet ar sefyllfa ariannol bresennol y Cyngor	I'w gadarnhau	Y Cyng. Julian Thompson-Hill / Richard Weigh
	4	Eitemau o'r Pwyllgorau Archwilio	Ystyried unrhyw fater a godwyd gan y Pwyllgor Archwilio at sylw'r Cabinet	I'w gadarnhau	Cydlynnydd Archwilio
<b>27 Chwefror</b>	1	Adroddiad Cyllid	Rhoi'r wybodaeth ddiweddaraf i'r Cabinet ar sefyllfa ariannol bresennol y Cyngor	I'w gadarnhau	Y Cyng. Julian Thompson-Hill / Richard Weigh
	2	Eitemau o'r Pwyllgorau Archwilio	Ystyried unrhyw fater a godwyd gan y Pwyllgor Archwilio at sylw'r Cabinet	I'w gadarnhau	Cydlynnydd Archwilio
<b>20 Mawrth</b>	1	Adroddiad Cyllid	Rhoi'r wybodaeth ddiweddaraf i'r Cabinet ar sefyllfa ariannol bresennol y Cyngor	I'w gadarnhau	Y Cyng. Julian Thompson-Hill / Richard Weigh

## Rhaglen Gwaith i'r Dyfodol y Cabinet

Cyfarfod	Eitem (disgrifiad / teitl)		Pwrpas yr adroddiad	Angen penderfyniad y Cabinet (oes/nac oes)	Awdur - Aelod Arweiniol a Swyddog Cyswllt
	2	Eitemau o'r Pwyllgorau Archwilio		I'w gadarnhau	Cydlunydd Archwilio
<b>24 Ebrill</b>	1	Adroddiad Cyllid	Rhoi'r wybodaeth ddiweddaraf i'r Cabinet ar sefyllfa ariannol bresennol y Cyngor	I'w gadarnhau	Y Cyng. Julian Thompson-Hill / Richard Weigh
	2	Eitemau o'r Pwyllgorau Archwilio	Ystyried unrhyw fater a godwyd gan y Pwyllgor Archwilio at sylw'r Cabinet	I'w gadarnhau	Cydlunydd Archwilio
<b>22 Mai</b>	1	Ardaloedd Gwella Busnes Prestatyn a'r Rhyl	Ystyried yr achos busnes llawn yn ymwneud â sefydlu ardaloedd gwella busnes	Oes	Y Cyng. Hugh Evans / Mike Horrocks
	2	Adroddiad Cyllid	Rhoi'r wybodaeth ddiweddaraf i'r Cabinet ar sefyllfa ariannol bresennol y Cyngor	I'w gadarnhau	Y Cyng. Julian Thompson-Hill / Richard Weigh
	3	Eitemau o'r Pwyllgorau Archwilio	Ystyried unrhyw fater a godwyd gan y Pwyllgor Archwilio at sylw'r Cabinet	I'w gadarnhau	Cydlunydd Archwilio

## Rhaglen Gwaith i'r Dyfodol y Cabinet

### Nodyn i swyddogion - Dyddiadau Cau Adroddiadau i'r Cabinet

<i>Cyfarfod</i>	<b><i>Dyddiau Cau</i></b>	<i>Cyfarfod</i>	<b><i>Dyddiau Cau</i></b>	<i>Cyfarfod</i>	<b><i>Dyddiau Cau</i></b>
<i>Tachwedd</i>	<b><i>7 Tachwedd</i></b>	<i>Rhagfyr</i>	<b><i>28 Tachwedd</i></b>	<i>Ionawr</i>	<b><i>9 Ionawr</i></b>

Diweddarwyd 08/11/17 - KEJ

Rhaglen Gwaith i'r Dyfodol y Cabinet.doc